Public Assistance Amendments

COMMITTEE ON FINANCE UNITED STATES SENATE

RUSSELL B. LONG, Chairman

Staff Data and Materials Prepared by the Staff of the Committee on Finance for the Use of the

SUBCOMMITTEE ON PUBLIC ASSISTANCE

DANIEL PATRICK MOYNIHAN, Chairman



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STAFF DATA AND MATERIALS RELATING TO PUBLIC ASSISTANCE AMENDMENTS

Introduction

On June 14, 1977, the House of Representatives passed the bill H.R. 7200 which includes numerous amendments to the various public assistance programs established under the Social Security Act. Three of the provisions of H.R. 7200 have already, with some modifications, been enacted into law as a part of separate legislation (Public Law 95-59). These provisions, all involving matters which required action by the end of June 1977, were:

a 15-month extension (through September 30, 1978) of the temporary provisions governing the eligibility of supplemental

security income recipients for food stamps;

a 15-month extension (through September 30, 1978) of the provision under which Federal matching is provided for State expenditures related to administering the child support program for persons who are not welfare recipients;

a deferral until April 1, 1978, of the deadline by which the Secretary of Health, Education, and Welfare must submit a report on the appropriateness of child care standards mandated by title XX of the Social Security Act together with his recom-

mendations for changes in those standards.

Hearings on the remaining provisions of H.R. 7200 and related proposals have been scheduled by the Subcommittee on Public Assistance of the Committee on Finance for July 12, 18, and 19, 1977. This document presents basic data and materials concerning the programs affected by H.R. 7200 and a description of its provisions.

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I. Supplemental Security Income (SSI)

A. DESCRIPTION OF PROGRAM

The supplemental security income (SSI) program is a federally administered income support program for the aged, blind, and disabled. The program was enacted in 1972 and became effective on January 1, 1974, replacing the former State-administered programs of aid to

the aged, blind, and disabled.

The SSI program guarantees needy aged, blind, and disabled persons a minimum monthly income of \$177.80 for a single individual or \$266.70 for a married couple. These are the basic Federal guarantee levels. In many States, however, higher levels prevail as a result of State action to supplement the basic Federal support levels. States may elect to administer their supplementary payments as a separate program or to contract for Federal administration so that the monthly payment of Federal and State benefits combined is included in a single check issued by the Federal Treasury.

The amount actually payable to a given recipient is determined by subtracting from the overall income support level the amount of income the individual has from other sources. In making this computation, some types of income are not counted. For example, there is excluded the first \$20 of monthly income from any source (such as from social security benefits) and certain proportions of income from wages. As a result, the total income of an individual who has some other source of income will always be somewhat higher than the total income of an individual who is entirely dependent upon SSI benefits.

In calendar year 1976, the SSI program paid \$4.5 billion in Federal benefits and another \$1.4 billion in federally administered State supplementary benefits to some 4.2 million recipients. At present, the beneficiary population is about evenly divided between the aged on the one hand and the blind and disabled on the other. Since the beginning of the program, however, the proportion of blind and disabled recipients has been steadily increasing.

B. PROVISIONS OF H.R. 7200

Attribution of parents' income and resources to children.—For purposes of the SSI program, the term "child" is defined to include an individual age 18 through 21 who is a student regularly attending a school, college, or university, or a course of vocational or technical training designed to prepare him for gainful employment. Otherwise, all persons aged 18 or over are treated as adults. The provision in the House bill would, in effect, eliminate any differential treatment of individuals on the basis of student status.

The effect of the present definition, in combination with the provision requiring that the parents' income and resources must be deemed to a child in determining the child's eligibility for SSI, is to discourage a disabled individual between the ages of 18 and 22 from attending

school or training. By attending school the individual must be considered a "child" under the SSI law, and the parents' income and resources are deemed to him. The result may be that he loses his SSI eligibility, or that the amount of the benefit is greatly reduced. By not attending school the individual is not considered a child, and only his own income and resources are countable for purposes of determining SSI eligibility.

Under the House provision, although the individual would no longer be subject to the deeming requirements, he would be subject to the provision of law which requires that the SSI benefit level be reduced by one-third in the case of persons who live in the household of another and receive support and maintenance in kind. In some cases, the change provided for in the House bill could result in a lower benefit amount.

In most cases, however, benefits would be increased.

In June 1976 there were 143,904 blind and disabled children receiving federally administered SSI payments, including about 18,000 children

aged 18 to 21.

Modification of requirement for third-party payee.—Under present law an SSI recipient who is an addict or alcoholic (1) must be undergoing appropriate treatment, and (2) must have his payments made to a third party interested in his welfare. The House bill would amend the third-party payee provision to provide that if the attending physician of the institution or facility where an individual is undergoing treatment certifies that the direct payment of SSI benefits would be of significant therapeutic value, and that there is substantial reason to believe that he would not misuse or improperly spend the funds, the payments may be made directly and not through a third party. The House report states that in areas where there is a substantial concentration of drug addicts and alcoholics, it has been difficult, and in some cases impossible, to secure third-party payees. It states further that it is believed by many of those involved in their treatment that there are some addicts and alcoholics who are sufficiently responsible to handle cash and for whom the direct cash payment would have a positive therapeutic value.

Present law provides specifically that the Secretary must make SSI payments with respect to an individual medically determined to be an addict or alcoholic to some other person (including an appropriate public or private agency) who is interested in or concerned with the welfare of the individual. The statute applies this third-party payment requirement to all disabled recipients who are found to be addicts or alcoholics and not only to those who are found to be disabled because of their addiction or alcoholism. However, the Social Security Administration has adopted a regulation which applies the payment restrictions only to those individuals whose addiction or alcoholism was the deciding factor in their eligibility for SSI. Since addiction or alcoholism is not ordinarily a basis of disability findings under the SSI program, this decision generally limits the impact of the provision to those relatively few individuals who were grandfathered into the program as addicts or alcoholics from the State programs of aid to the disabled. Thus, as of February 1976, there were 9,729 addicts and alcoholics who had been transferred from the previous State programs, and only 350 new awards since the beginning of the SSI program in January 1974. Of the total number, 8,696 of the recipients were in New York, 459 in California, and 344 in Maryland. Fortythree States had fewer than 25 disabled alcoholics and drug addicts

who were receiving federally administered SSI payments.

The requirement that all these addicts and alcoholics be paid through third parties is being met in only 43 percent of the cases. In 57 percent of the cases the individual is his own payee.

TABLE 1.—SUPPLEMENTAL SECURITY INCOME: NUMBER AND PERCENTAGE DISTRIBUTION BY TYPE OF REPRESENTATIVE PAYEE RECEIVING FEDERALLY ADMINISTERED PAYMENTS ON BEHALF OF PERSONS MEDICALLY DETERMINED TO BE ALCOHOLICS OR DRUG ADDICTS, FEBRUARY 1976

Type of	Total		Alcoholics		Drug addicts	
representative payee	Number	Percent	Number	Percent	Number	Percent
Total	10,079	100.0	2,599	100.0	7,480	100.0
Own payee Spouse Parent Other relative Nonrelative 1	5,749 142 974 1,218 1,996	57.0 1.4 9.7 12.1 19.8	1,489 74 152 389 495	57.3 2.8 5.8 15.0 19.1	4,260 68 822 829 1,501	57.0 .9 11.0 11.1 20.1

¹ Includes institution, social agency, public official and other—attorney, guardian or other interested person.

Source: Department of Health, Education, and Welfare.

Continuation of benefits for individuals hospitalized outside the United States in certain cases.—Under present law no individual is eligible for SSI for any month during all of which he is outside of the United States. Under the House bill individuals would be treated as inside the United States during any period of absence demonstrated to the satisfaction of the Secretary to be necessary to obtain inpatient hospital services, under certain specified conditions. SSI eligibility would be retained specifically if the individual was in a hospital which was closer to, or substantially more accessible from, the individual's residence than the nearest hospital within the United States which was adequately equipped to deal with, and was available for the treatment of, the individual's illness or injury. Eligibility would also be retained in the case of emergency inpatient hospital services provided in a hospital outside the United States so long as the individual was physically present in the United States or at a place within Canada while traveling between Alaska and another State at the time the emergency occurred. These conditions are the same as those imposed under the medicare program.

The House report provides no data for the number of cases which

might be affected by this provision.

Exclusion of certain gifts and inheritances from income.—Whether an individual is eligible for SSI and the amount of his benefit is determined on the basis of the amount of the earned and unearned income

which he otherwise has. The statute defines unearned income to include gifts (cash or otherwise), support and alimony payments, and inheritances. The proposed House amendment would allow the Secretary by regulation to provide that gifts and inheritances which are not readily convertible into cash are not income. Persons receiving such gifts and inheritances would, however, be subject to the limitations on resources. If the gift or inheritance would have the effect of causing the individual to have excess resources the individual's case could be considered under the provision of law requiring the Secretary to prescribe the period of time within which and the manner in which various kinds of property must be disposed of in order not to be in-

cluded in determining the individual's eligibility for benefits.

Increased payments for presumptively eligible individuals.—Present law provides for payment of a cash advance of up to \$100 in the case of persons who are presumptively eligible for SSI and who are faced with a financial emergency. The House bill would delete the provision for a one-time payment of \$100 and provide instead for one or more cash advances against the SSI benefits. The total cash advances could not exceed the aggregate amount of the benefits for which the individual is presumptively eligible, including any federally administered State supplementary payments, for the first 3 months of such presumptive eligibility. Thus, in California, for example, an individual could be eligible to receive an emergency payment (or payments) amounting to \$828.00 (3 months' payments at \$276.00 per month). The House report states that if an amount smaller than the full entitlement is all that is needed to meet the emergency, the provision would not require that the full amount be paid.

The use of the present emergency payment provision has been decreasing since the first months of the program. Major reasons given for this are the fact that it now takes SSA a considerably shorter time to process initial applications, and that many of the new applicants to the program have other sources of income. In January 1975 there were 1,540 emergency payment cases, with an average payment per case of \$92.18. In January 1976 there were fewer than half as many cases—652, with an average payment of \$92.79. In June 1976 there were only 354 emergency assistance cases, with an

average of \$94.11 per case.

Termination of mandatory minimum State supplementation in certain cases.—Public Law 93-66 required States to establish State mandatory supplementation programs to assure that all persons who received assistance under the former programs of aid to the aged, blind and disabled in December 1973 receive no less income under SSI than they received under the previous programs. The House bill provides for the elimination of the mandatory supplementation requirement for individuals who, after September 1977, are (1) no longer residents of the State to which such rules apply, (2) receiving income equal to or greater than their December 1973 income, (3) ineligible for SSI because they are in a public institution or because of other specific restrictions on SSI eligibility, and (4) ineligible because of excess resources.

In January 1977 there were 122,628 recipients of mandatory State supplements. However, the Social Security Administration is required, under the language of the mandatory supplementation legislation, to carry on its records a mandatory supplement level for some 2.2 million

individuals who were converted to SSI from State rolls. The approximately 2 million individuals who were converted from the State rolls who do not benefit from the mandatory supplement provision do not do so for various reasons: their income, for example, may be higher under the optional State supplementation program than what is required under the mandatory supplement, or they may have had changed circumstances so that they are no longer subject to the guarantee.

The language in the House bill, apparently unintentionally, appears to have the effect of terminating all mandatory supplement cases. The intent of the bill, however, seems to be to allow States to end their guarantees in the case of individuals who are not actually receiving higher benefits under it on the assumption that, if they are not now benefiting from it, they are not likely to benefit from it in the future. This would allow the Social Security Administration and the States to eliminate continued recordkeeping on behalf of these individuals. Although some individuals would be disadvantaged by the loss of the permanent guarantee, the House report states that it is expected that "few individuals would be affected by the provision."

Monthly computation period for determination of supplemental security income benefits.—Under the SSI statute, the determination of an individual's eligibility and amount of entitlement is computed on a quarterly rather than a monthly basis. The House bill would require

monthly determination.

There is no data to show how the present caseload would be affected by this change. The Administration has indicated that, although a definite cost estimate cannot be made, it believes the cost would not be excessive. It requested a 1-year delay in the effective date

in order to implement the change.

The provision would not affect recipients who do not have varying income. Persons with irregular and unanticipated income would be affected, however. The present quarterly accounting period is said to create problems of overpayment in that an increase in a recipient's earnings or other income which occurs near the end of the quarter will affect his entitlement for the entire quarter. Thus SSI payments which are correct when paid in January can become overpayments because of unanticipated income received in March. However, recipients who engage in employment or otherwise have varying incomes may find that their estimates on a monthly basis are incorrect as often as estimates on a quarterly basis. An SSI check paid correctly at the beginning of a month would be rendered erroneous if the recipient's estimate of his income for that month proves to be incorrect.

In the welfare reform proposals which the Administration has under consideration at the present time attention is reportedly being given to extending the current quarterly accounting period to 6 months or 1 year with the purpose of reducing welfare costs.

Rate of payment to persons in medicaid institutions.—Under existing law, the income support level which the SSI program ordinarily provides for an individual is reduced to \$25 when the individual is institutionalized in a facility which receives payments for his care under the medical assistance program. The reduction takes place only in the case of a month or months in which the individual is institutionalized for the entire month. Thus, if an individual enters an institution on the

10th of May and is discharged on the 25th of June, the reduction in

support levels does not take place.

The reason for the reduction in benefits to persons who are institutionalized is that the costs of their care including the costs which would ordinarily be met from the SSI payment are assumed to be financed entirely through the medicaid program (or their other income in combination with the medicaid program). Because institutionalized persons may have some incidental needs (e.g., for personal items) not covered by the medicaid program, a small \$25 benefit is provided and this benefit is not taken into account in determining what medicaid will pay toward the cost of the institutional care.

The House bill would provide that, where an individual who was already receiving SSI benefits is institutionalized, the reduction in SSI benefits to the \$25 monthly rate would not take place until the fourth full month during which he is in the institution. The House report indicates that the intent of the provision is to enable the institutionalized person to maintain a shelter outside the institution and to meet similar costs. It appears, however, that States might be able to require that the higher SSI payment be applied against the cost of the individual's institutional care, thus leaving the recipient with no

increase in overall income.

Cost-of-living adjustments in SSI payments to individuals in certain institutions.—As described above, present law provides for a standard \$25 monthly payment to individuals in medical facilities receiving medicaid reimbursement in their behalf. The House provision would require that the \$25 payment be adjusted each year to reflect changes in the cost of living, on the same basis as the regular SSI benefit amount is adjusted. Thus the amount payable under a 5.9 percent increase, such as went into effect in July of this year, would be in-

creased by \$1.50 per month.

Exclusion from income of certain assistance based on need.—Under present law, assistance which is based on need and provided by a tax-exempt charitable or philanthropic agency to an individual who is a resident of a nonprofit retirement home or similar institution is not counted as income in determining that individual's eligibility for SSI payments. The House bill would provide that any assistance provided by such an agency would be disregarded in determining SSI eligibility, regardless of whether the individual was living in an institution. The exclusion would not apply in situations in which the agency has a prior obligation to provide such assistance.

Exclusion of certain assistance payments from income.—The Housing Authorization Act of 1976 provides that certain subsidies under the act shall not be counted as income in determining eligibility for SSI payments beginning October 1, 1976. The House bill would cancel SSI overpayments attributable to the housing subsidies received by recipients prior to last October 1. Persons who have already reported the subsidies and had their overpayments recovered by SSA would

not, however, be eligible for reimbursement.

Definition of eligible spouse.—For purposes of SSI, an eligible spouse is an aged, blind, or disabled individual (1) who is the spouse of another aged, blind, or disabled individual and (2) who has not been living apart from such other individual for more than 6 months. Under the House bill an eligible spouse would be an individual who has not been living apart from the SSI recipient for more than 1 month.

The effect of the provision would be to make SSI recipients and their eligible spouses eligible for benefits as single individuals after living apart for 1 month rather than 6 months. The purpose of the provision, as described in the House report, is to eliminate the financial hardship which may result when a couple separates and, regardless of the fact that they each may have the same expenses as any non-married individual, they are eligible only for half of the couple's benefit instead of the higher benefit for individuals. The provision would, however, have some other effects. It would require each spouse to meet the individual resources limitation, which, in the case of the assets being held in the name of one spouse rather than both, could have the effect of making that spouse ineligible for benefits. It could also, in combination with the section of the House bill requiring full SSI payments for the first 3 months of institutionalization, have the effect of paying one level of benefits in the first month of a spouse's institutionalization (the benefit to which he was already entitled), another higher level in the second and third months (the benefit to which a non-institutionalized individual is entitled), and a third level thereafter (the reduced \$25 payment to which an individual who is institutionalized is entitled).

Coordination with other assistance programs.—Under the House bill the Secretary of HEW would be required to "take such actions as may be necessary and appropriate" to coordinate the administration of SSI with the administration of the medicaid and food stamp programs in such a way as to facilitate the filing of claims for and receipt of benefits under these three programs. In accomplishing this, the Secretary would be authorized to enter into arrangements with the agencies administering the medicaid and food stamp programs to provide that, whenever possible, claims for benefits under these programs may be filed in the same office where claims for benefits under SSI are filed. The Secretary would also be authorized to reimburse any public agency for any additional administrative expenses incurred by the agency as the result of an agreement or arrangement made between the agency and the Secretary for the purpose of implementing these provisions. There is no specific amount authorized for this purpose.

Under present law, States may (under certain conditions) contract with the Social Security Administration for determination by SSA of the SSI recipient's eligibility for medicaid. Some 27 States have signed such a contract with SSA. The provisions for SSA determination of medicaid eligibility have been a source of continuing dissatisfaction on the part of the States. In addition, a majority of States have signed referral agreements with SSA for joint referral procedures to be followed by SSA and the State agencies administering food stamp and other programs. In some States there have also been agreements for the outstationing of State social service employees in local social security district offices to perform referral and other functions.

Attribution of sponsor's income and resources to aliens.—Under existing law, in order to be eligible for SSI an alien must be lawfully admitted for permanent residence or otherwise permanently residing in the United States "under color of law." The latter category refers primarily to refugees who enter as conditional entrants or parolees. An alien seeking admission to the United States must establish that

he is not likely to become a public charge. If a visa applicant does not have sufficient financial resources of his own, a U.S. consular officer may require assurance from a resident of the United States that the alien will be supported. Such assurances are known as affidavits of support, and the U.S. resident is referred to as the alien's sponsor. In addition, immigrants who become "public charges" within 5 years of their entry into the United States may be deported if the cause of their becoming "public charges" did not arise subsequent to their entry. Apparently, becoming dependent upon SSI or other assistance programs does not constitute becoming a "public charge" under existing interpretations of that term.

The House bill would amend the alien eligibility provisions of title XVI to provide that the income and resources of a sponsor shall be imputed to an alien on whose behalf an affidavit of support was executed, for the duration of the assurance of support, up to a maximum of 3 years after entry. The requirement would apply to aliens whose permanent residence in the United States began after enactment of the provision. It would not apply to an alien who is eligible because of blindness or disability if the blindness or disability began after

the alien's admission to the United States.

The House report notes that there have been complaints about legal aliens receiving SSI benefits shortly after they have entered the United States. It notes further that instances have been cited of individuals who agreed to sponsor and support such aliens, and who reneged on that responsibility. In many States, because SSI recipients are automatically eligible for medicaid, aliens may also immediately become eligible for the full range of State medicaid benefits. According to the Social Security Administration, there are 148,000 aliens who are recipients of SSI. About 16,000 have resided in the United States

for less than 5 years, and about 2,500 for less than 1 year.

The use of affidavits of support is not provided for by law or regulation but is an administrative practice of long standing. It is difficult to estimate the number of aliens who would be affected by the House provision because the number of aliens on whose behalf affidavits of support are required is not known. However, a spot check of the Manila visa issuing post, which issued the largest number of immigrant visas in 1975, revealed that 88 percent of all visa applicants were required to have affidavits of support, 10 percent had offers of employment in the United States, and 2 percent had their own means of support. Court decisions have generally established that an affidavit of support is not legally binding on a sponsor. On September 7, 1976, the Department of State issued proposed regulations to establish an affidavit of support procedure which would bind a sponsor to repay public assistance money paid to the sponsored alien. However, the Department of HEW has indicated that the proposed regulations create problems with respect to rules which limit conditions under which legally-made payments may be recovered. The Departments of State and Health, Education, and Welfare are now engaged in discussions on this issue.

Extension of supplemental security income benefit program to Puerto Rico, Guam and the Virgin Islands.—There is no program of Supplemental Security Income for persons living in Puerto Rico, Guam and the Virgin Islands. Instead, these jurisdictions are entitled to limited Federal matching funds for programs of aid to the aged, blind and

disabled under those titles of the Social Security Act which were formerly applicable in the States. The House bill would establish an SSI program in these jurisdictions, effective April 1, 1978, with benefit levels adjusted so as to bear the same ratio to the SSI benefit rates in the States as the per capita income in each of the jurisdictions bears to the per capita income of the State with the lowest per capita income.

Under the proposal, an eligible individual in Puerto Rico would be entitled to a maximum benefit of \$102.40 a month, in Guam the benefit would be \$160.00 a month, and in the Virgin Islands \$177.80. Under present law the average monthly payments in these jurisdictions in December 1976 were: Puerto Rico—\$19.04 for the aged, \$13.59 for the blind, and \$14.37 for the disabled; Guam—\$70.66 for the aged, \$88.37 for the blind, and \$74.90 for the disabled; Virgin Islands—\$55.94 for the aged, \$56.28 for the blind, and \$55.94 for the disabled.

The House bill would result in a very large increase in the number of persons receiving cash assistance in these jurisdictions. In Puerto Rico, for example, there were 35,384 aged, blind and disabled recipients of cash assistance in January 1976, with about half the caseload being aged and half being blind or disabled. The Social Security Administration has developed rough estimates for the House provision showing a total of 185,000 recipients, 105,000 of whom are estimated to be aged, and 80,000 blind or disabled. This would represent about a 425-percent increase in the number of aged, blind and disabled cash assistance recipients in Puerto Rico.

The Social Security Administration has estimated that it will take about 1,300 additional man-years to administer this provision of the

House bill.

C. STATISTICAL TABLES

TABLE 2.—RECIPIENTS OF FEDERALLY ADMINISTERED SSI PAYMENTS, BY CATEGORY AND BY STATE, DECEMBER 1976

State	Total	Aged	Blind	Disabled	
Total ¹	4,235,939	2,147,697	76,366	2,011,876	
Alabama ² . Alaska ² . Arizona ² . Arkansas. California	143,277 3,056 28,371 85,714 673,711	93,980 1,320 13,417 53,881 323,921	1,915 75 450 1,656 16,093	47,382 1,661 14,504 30,177 333,697	14
Colorado ² Connecticut ² Delaware District of Columbia. Florida.	33,735 22,613 6,766 14,822 160,773	18,058 8,614 3,051 4,833 90,601	344 293 228 192 2,526	15,333 13,706 3,487 9,797 67,646	
Georgia Hawaii Idaho ² Illinois ² Indiana ²	161,138 9,349 8,262 131,459 41,747	86,555 5,249 3,599 43,973 19,895	2,957 127 99 1,599 1,033	71,626 3,973 4,564 85,887 20,819	

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02-503-	lowa. Kansas. Kentucky ² Louisiana. Maine.	27,852 23,126 96,028 149,180 23,482	14,645 11,290 52,376 85,892 12,377	1,110 350 2,014 2,150 265	12,097 11,486 41,638 61,138 10,840
-773	Maryland Massachusetts Michigan Minnesota 2 Mississippi	47,848 130,167 117,188 36,444 120,815	18,249 77,662 47,347 17,113 75,493	554 4,326 1,588 635 1,911	29,045 48,179 68,253 18,696 43,411
	Missouri ² Montana Nebraska ² Nevada New Hamphire ²	96,457 7,934 14,987 5,784 5,378	57,222 3,326 7,535 3,520 2,780	1,825 140 238 304 156	37,410 4,468 7,214 1,960 2,442
	New Jersey New Mexico ² New York North Carolina ² North Dakota ²	79,809 26,174 388,374 146,265 7,467	35,777 12,007 163,779 74,977 4,360	1,006 407 4,055 3,561 63	43,026 13,759 220,540 67,727 3,044
	Ohio. Oklahoma ² . Oregon ² . Pennsylvania. Rhode Island.	127,303 80,424 24,434 157,771 15,692	48,130 46,991 9,574 64,771 6,744	2,408 1,085 566 4,292 180	76,765 32,348 14,294 88,708 8,768

See footnotes at end of table.3

TABLE 2.—RECIPIENTS OF FEDERALLY ADMINISTERED SSI PAYMENTS, BY CATEGORY AND BY STATE, **DECEMBER 1976—Continued**

State	Total	Aged	Blind	Disabled
South Carolina ²	82,462	44,669	1,918	35,875
outh Dakota	8,292	4,775	121	3,396
fennessee	134,460	73,477	1,770 3,994	59.213
exas 3	273,856	178,078	3.994	91,784
Jtah ³	8,862	3,272	175	5,415
/ermont	8,705	4.263	106	4.336
/irginia ²	77,528	4,263 41,213	1,403	4,336 34,912
Washington	50.137	19,291	506	30,340
Nact Virginia 3	42,957	18,704	649	30,340 23,604 30,293
West Virginia ²	65.067	33.862	912	20,004
W15C0115111	65,067	33,802	912	30,293
Wyoming ²	2,357	1.178	34	1.145

Includes persons with Federal SSI payments and/or federally administered State supplementation, unless otherwise indicated.
 Data for Federal SSI payments only. State has State-administered

Source: Department of Health, Education, and Welfare.

supplementation.

³ Data for Federal SSI payments only; State supplementary payments not made.

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TABLE 3.—TOTAL AMOUNT OF FEDERALLY ADMINISTERED SSI PAYMENTS, BY CATEGORY AND BY SATET, CALENDAR YEAR 1976

[In thousands of dollars]

State	Total	Aged	Blind	Disabled	Federal share of total amount ¹
Total	\$5,900,215	\$2,420,377	\$134,060	\$3,345,778	\$4,512,061
Alabama Alaska Arizona Arkansas California	156,822	90,983	2,764	63,075	156,822
	4,229	1,569	121	2,539	4,229
	37,470	14,528	705	22,237	37,470
	89,846	50,610	2,301	36,935	89,314
	1,340,172	525,205	38,188	776,779	541,963
Colorado	39,417	17,201	438	21,778	39,417
	28,316	7,940	396	19,980	28,316
	8,210	2,771	351	5,088	7,416
	21,860	5,331	316	16,213	21,657
	202,460	102,994	3,759	95,707	202,205
GeorgiaHawaiildahollinoislndiana	184,109	86,238	4,395	93,476	183,150
	14,315	6,840	231	7,244	10,075
	8,862	3,038	139	5,685	8,862
	170,061	40,910	2,291	126,860	170,061
	43,068	16,198	1,388	25,482	42,739

See footnote at end of table, p. 17.

TABLE 3.—TOTAL AMOUNT OF FEDERALLY ADMINISTERED SSI PAYMENTS, BY CATEGORY AND BY STATE, CALENDAR YEAR 1976—Continued

[In thousands of dollars]

State	Total	Aged	Blind	Disabled	Federal share of total amount 1
lowa	27,660	12,122	1,492	14,046	26,431
Kansas	23,568	9,787	494	13,287	23,347
Kentucky	116,897	54,698	3,416	58,783	116,897
Louisiana	179,393	92,451	3,253	83,689	177,159
Maine	24,360	9,489	408	14,463	19,267
Maryland	64,240	17,157	838	46,245	63,555
	217,624	107,177	9,598	100,849	86,658
	182,045	57,798	2,829	121,418	125,711
	36,045	14,031	824	21,190	36,045
	139,511	75,877	2,868	60,766	139,099
Missouri	109,731	56,215	2,372	51,144	109,731
	9,356	2,772	179	6,405	8,898
	15,975	6,237	320	9,418	15,975
	7,746	4,332	600	2,814	5,347
	5,495	2,137	208	3,150	5,495
New Jersey. New Mexico New York. 21/. North Carolina North Dakota	111,514	40,140	1,558	69,816	90,490
	32,141	11,670	605	19,866	32,141
	667,508	214,094	8,125	445,289	436,115
	163,567	67,030	5,211	91,326	163,567
	8,079	3,893	87	4,099	8,079

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Ohio	160,247	44,714	3,471	112,062	159,691
Oklahoma	91,710	47,289	1,677	42,744	91,710
Oregon	29,031	8,290	746	19,995	29,031
Pennsylvania	239,353	78,759	7,126	153,468	182,261
Rhode Island	19,571	5,978	299	13,294	13,995
South Carolina	91,617	41,183	2,871	47,563	91,617
South Dakota	8,517	4,153	176	4,188	8,390
Tennessee	152,791	68,919	2,719	81,153	152,761
Texas	285,707	165,588	5,744	114,375	285,707
Utah	10,611	3,198	234	7,179	10,611
Vermont Virginia Washington West Virginia Wisconsin Wyoming	12,106 83,523 74,988 55,433 89,549	4,780 35,609 20,759 18,953 39,404 1,017	188 2,056 940 1,052 1,598	7,138 45,858 53,289 35,428 48,547	8,064 83,523 60,208 55,433 42,207

¹ In addition, the Federal Government paid for \$63.8 million of the State payments in fiscal 1976 under a transitional savings clause. The States now affected by the savings clause are Massachusetts and Wisconsin.

Source: Department of Health, Education, and Welfare.

TABLE 4.—INCOME SUPPORT LEVELS FOR AGED PERSONS, LIVING INDEPENDENTLY; FEDERAL SSI AND STATE SUPPLEMENTARY PAYMENTS, JULY 1976 1 2

	Individuals	Couples
AlabamaAlaska 3ArizonaArkansasArkansas	\$167.80 334.00 167.80 167.80 276.00	\$251.80 490.00 251.80 251.80 522.00
Colorado 4 Connecticut. Delaware. District of Columbia Florida	201.00 256.00 167.80 167.80 167.80	402.00 312.00 251.80 251.80 251.80
Georgia. Hawaii. Idaho 1. Illinois. Indiana.	167.80 183.00 231.00 175.00 167.80	251.80 276.00 302.00 251.80 251.80
lowa Kansas Kentucky Louisiana Maine	167.80 167.80 167.80 167.80 177.80	251.80 251.80 251.80 251.80 266.80
Maryland Massachusetts 4 Michigan Minnesota 3 Mississippi	167.80 282.41 192.10 196.00 167.80	251.80 430.00 288.20 289.00 251.80
Missouri Montana Nebraska ³ Nevada ⁴ ⁵ New Hampshire	167.80 167.80 233.00 202.75 170.00	251.80 251.80 326.00 323.00 251.80
New Jersey New Mexico New York North Carolina North Dakota	190.00 167.80 228.65 167.80 167.80	262.00 251.80 327.74 251.80 251.80

TABLE 4.—INCOME SUPPORT LEVELS FOR AGED PERSONS, LIVING INDEPENDENTLY; FEDERAL SSI AND STATE SUPPLEMENTARY PAYMENTS, JULY 1976 12—Continued

	Individuals	Couples
Ohio. Oklahoma. Oregon 4. Pennsylvania. Rhode Island.	\$167.80 189.70 179.80 200.20 199.24	\$251.80 300.60 261.80 300.50 311.12
South Carolina	167.80 167.80 167.80 167.80	251.80 251.80 251.80 251.80
Utah Vermont 3 Virginia Washington 3	167.80 200.00 167.80 201.90	251.80 315.00 251.80 287.80
West Virginia	167.80 234.00 167.80	251.80 351.00 251.80

The amount shown is the total amount payable to an individual or couple in combined Federal SSI payments and State supplementary payments for basic needs. In some cases, additional amounts are payable by the State for special needs. Individuals who were on the State rolls in December 1973 may also in some instances receive additional amounts under the mandatory supplementation grandfather clause. The Federal component of the payments shown are: Full benefits: \$167.80 for individuals, \$251.80 for couples. Effective July 1, 1977, the Federal benefit is increased to \$177.80 for individuals, \$266.70 for couples. Public Law 94-585 requires that States not reduce thair expenditures for State supplementation because of the cost-of-living increases. This means that, in most instances, State supplementary payment levels will not be reduced.

Income support levels are also applicable for the blind and disabled unless otherwise indicated.

⁴ A different income support level is applied in the case of blind and/or disabled recipients.

⁵ No State supplementation is provided for disabled recipients.

Maximum payment may be less depending upon actual shelter costs or area of State.

TABLE 5.—SSI RECIPIENTS SUBJECT TO \$25 PAYMENT LEVEL AS RESIDENTS OF MEDICAID INSTITUTIONS, MARCH 1977

Total	Number of recipients 212,777
AlabamaAlaskaArizona	4,501 219 4
Arkansas	5,097 19,758
Colorado. Connecticut. Delaware. District of Columbia. Florida.	3,285 2,248 210 171 3,118
Georgia Hawaii Idaho Illinois Indiana	7,455 228 665 11,987 3,284
lowa Kansas. Kentucky. Louisiana. Maine.	3,042 3,558 2,517 7,448 929
Maryland* Massachusetts	2,340 7,187 6,583 6,380 2,113
Missouri Montana Nebraska Nevada New Hampshire	2,876 724 1,892 236 473
New Jersey. New Mexico. New York. North Carolina North Dakota.	2,753 779 21,037 3,204 709

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TABLE 5.—SSI RECIPIENTS SUBJECT TO \$25 PAYMENT LEVEL AS RESIDENTS OF MEDICAID INSTITUTIONS, MARCH 1977—Continued

	Number of recipients
Ohio. Oklahoma. Oregon. Pennsylvania Rhode Island.	6,660 8,414 2,339 9,971 1,357
South Carolina South Dakota Tennessee Texas Utah	2,140 980 4,249 20,508 1,482
Vermont Virginia Washington West Virginia Wisconsin Wyoming	454 3,477 3,348 463 7,792 133

D. COST OF PROVISIONS OF H.R. 7200

Most of the provisions of H.R. 7200 related to the Supplemental Security Income program have been estimated as having very small or negligible costs. The provisions which are estimated to have significant costs are the following:

(1) The continuation for 3 months of full SSI eligibility for persons in medicaid institutions has been estimated to have a first-year cost

ranging from \$4 to \$13 million.

(2) The provision for providing cost-of-living increases in the \$25 payment to persons in medicaid institutions has an estimated firstyear cost of \$3.8 million.

(3) The provision treating separated spouses as individuals after 1 month rather than 6 months of separation has an estimated first-year

cost of about \$3 million.

(4) The provision extending the SSI program to Puerto Rico, Guam, and the Virgin Islands has been estimated to have a first-fullyear cost of \$170 to \$185 million (in fiscal year 1978, the cost is estimated at around \$80 to \$85 million because the provision is not effective until April 1, 1978).

The provision changing from a quarterly to a monthly accounting period for SSI was estimated to have a small savings by the Congressional Budget Office but this appears contrary to what studies of accounting periods have shown. No estimate of the cost of this change has been developed but the Administration believes that it would

involve additional costs.

Several of the SSI provisions which have been estimated to have negligible costs are drawn in a manner which leaves much room for varying interpretations or for regulatory or administrative discretion. It appears possible that these provisions, if not narrowly interpreted and tightly administered, might result in significant increased costs both under the SSI program and under State medicaid programs (SSI recipients generally become eligible for medicaid). Provisions which seem to fall in this category are the following:

(1) The provision allowing the Secretary to exclude from income the value of gifts and inheritances; (2) the provision permitting payment for up to 3 months of full SSI benefits to presumptively eligible individuals; (3) the provision for coordination of SSI administration with food stamp and medicaid administration including some Federal

assumption of related administrative costs.

II. Social Services and Child Care

A. DESCRIPTION OF PROGRAM

In addition to providing Federal funding for cash public assistance to certain categories of needy individuals, the welfare titles of the Social Security Act have provided funding for a variety of social services programs. Originally, the costs of social services were considered a part of the administrative costs of operating cash public assistance programs, but subsequent amendments provided separate recognition of social services programs, expanded their availability to persons not receiving cash assistance, permitted funding of services provided by other than the welfare agency itself (including services by non-public agencies), and increased the Federal rate of matching to 75 percent (90 percent in the case of family planning services).

Prior to fiscal year 1973, Federal matching for social services, like Federal matching for welfare payments, was mandatory and openended. Every dollar a State spent for social services was matched by three Federal dollars. In 1971 and 1972 particularly, States made use of these provisions to increase at a rapid rate the amount of Federal

money going into social services programs.

In 1972, the Congress established a \$2.5 billion annual ceiling on the amount of Federal funding for social services programs effective for fiscal year 1973 and subsequent fiscal years. Under this overall national ceiling, each State has a ceiling established which is based on its

population relative to the population of the entire Nation.

In 1974, Congress substantially revised the statutes governing the social services programs. The 1974 legislation transferred the provisions governing social services programs from the cash public assistance titles of the Social Security Act to a new separate services title (title XX). The Federal matching percentage for services remained at 75 percent under the new title XX program and the overall ceiling of \$2.5 billion allocated among the States on a population basis was not changed. Among the changes which were made in the new legislation, however, was a formal incorporation into the program of certain standards for child care funded under title XX. The child care standards were a modified version of the Federal Interagency Day Care Requirements of 1968. The Federal Interagency Requirements had previously been applicable to child care under the social services program but compliance with them had not been monitored.

Among other requirements mandated by title XX for child care funded under that act are certain minimum staffing standards. The standards which would be applied under title XX are shown in the

table below.

TABLE 6.—CHILD CARE CENTER STAFFING REQUIREMENTS UNDER LAW AND HEW REGULATION

Age of child	Maximum number of children per staff member	
Under 6 weeks	5 7	Required by regulation. Required by regulation. Required by law. Required by law. Maximum number allowed by law (though Secretary of HEW may lower the maximum number of children per staff member, thus increasing the staff required)

¹ Public Law 94–401 provides that no penalty for noncompliance may be invoked prior to Oct. 1, 1977.

The standards shown in table 6 above were to have become effective as of October 1, 1975, the date when the title XX program went into operation. However, because the imposition of these staffing standards would have increased the cost of operation of the program and because of disagreement as to the appropriateness of these standards, Congress enacted legislation postponing their implementation on a mandatory basis until October 1, 1977, by which time a major study of their appropriateness was to have been completed by the Department of Health, Education, and Welfare.

Legislation enacted earlier this year (Public Law 95-59) has deferred until April 1, 1978 the date by which the Department must make its report on the appropriateness of the child care staffing standards in permanent law. The Department had requested this deferral in order to permit it to take into account the results of certain studies which would not have been completed in time to be used under the prior

deadline of July 1, 1977.

The 94th Congress legislation, in addition to suspending the implementation of the title XX staffing standards for child care, also provided for a temporary increase in the limit on Federal funding under the title XX program. The amount made available was \$40 million for the period prior to fiscal year 1977 and \$200 million for fiscal year 1977. The additional funding was allocated among the States in the same way as the permanent \$2.5 billion limit, i.e. on a population basis. The \$200 million for fiscal year 1977 was available on a 100-percent Federal basis and could not exceed the amount of State expenditures for child care.

The legislation enacted in 1976 also included provisions designed to encourage the employment of welfare recipients in child care jobs. The welfare recipient employment incentive tax credit which provides a 20-percent credit for the expenses incurred by employers in hiring

welfare recipients was extended to September 30, 1977 in the case of child care jobs. States were also authorized to use a part of the additional funds available under the social service program to reimburse employers for the costs of hiring welfare recipients to the extent that the costs were not met through the tax credit.

B. PROVISIONS OF H.R. 7200

Increase in ceiling on Federal social services funding; extension of special provisions relating to child day care provisions.—Under the House bill the ceiling on Federal matching for social services would be increased to \$2.7 billion on a permanent basis, beginning with fiscal year 1978. However, in fiscal year 1978 the additional \$200 million would be provided for child care services, as under Public Law 94-401, on a 100-percent Federal funding basis.

The House bill would also provide for the extension to October 1, 1978, of certain provisions of Public Law 94-401 which otherwise

expire October 1, 1977. These are:

(1) Deferral of child care standards. Federal staffing standards for child care serving children age 6 weeks to 6 years are suspended under present law. This suspension would continue for an additional year. The condition that State law requirements would have to be met and that standards could not be lowered from September 1975 levels would be continued.

(2) Use of funds to increase employment of welfare recipients. The bill would continue to require States, to the extent they determine feasible, to use the added Federal funding in a way which would increase employment of welfare recipients and other low income persons

in child care jobs.

(3) State grants to aid employment of welfare recipients. The bill would continue for another year to permit States, without regard to usual title XX requirements, to use added Federal funding under the bill to make grants to child care providers to cover the cost of employing welfare recipients. These grants are limited to \$4,000 a year per employee in the case of proprietary providers. For public and non-profit providers, which are ineligible for tax credits, the limit on grants would be \$5,000. Grants could be made under this authority only if at least 20 percent of the children served by the child care provider have their care paid for through the title XX program.

(4) Welfare recipient tax credit. The provision granting a tax credit

(4) Welfare recipient tax credit. The provision granting a tax credit equal to 20 percent of wages to child care employers who hire persons who receive aid to families with dependent children as child care givers would be extended for an additional year. The tax credit is limited to a maximum of \$1,000 per employee per year in the case of

child care jobs.

(5) Waiver provisions and modification of family day care requirements. State welfare agencies would be permitted to continue to waive the Federal staffing requirements in the case of child care centers and group day care homes which meet State standards if the children receiving federally funded care represent no more than 20 percent of the total number of children served (or, in the case of a center, there are no more than 5 such children), provided that it is infeasible to place the children in a facility which does meet the Federal requirements. It would also continue the modification of the limitations on the number of children who may be cared for in a family day care

home by providing that the family day care mother's own children

not be counted unless they are under age 6.

(6) Addicts and alcoholics. It would continue for a year the provisions requiring that special confidentiality requirements of the comprehensive Alcohol Abuse Act be observed with regard to addicts and alcoholics, clarify that the entire rehabilitative process must be considered in determining whether medical services provided to addicts and alcoholics can be funded as an integral part of a State social services program, and provide for funding of a 7-day detoxification period even though social services funding is generally not available to persons in institutions.

Social services entitlement for Puerto Rico, Guam and the Virgin Islands.—Puerto Rico, Guam, and the Virgin Islands do not participate in the title XX social services program on the same basis as the States. Instead, they may receive an allotment for social services only from the amount that the States and the District of Columbia certify, after the beginning of the program year, that they will not use out of their share of the \$2.5 billion in Federal funding under the title XX program. The law specifies that in no case can the allotment exceed \$15 million for Puerto Rico and \$500,000 each for Guam and the

Virgin Islands.

The amendment in the House bill would require each State, prior to the beginning of the fiscal year, to certify to the Secretary whether it will have funds in excess of its title XX program needs and the amount of the excess. If a State certified that its allotment exceeded its need, then the amount of the allotment would be reduced by the amount of the excess. Under the provision the State could make a subsequent determination, after the beginning of the fiscal year, if it later determined that the amount originally certified was in excess of the amount needed. Amounts certified as in excess of State needs would be available for allotment to Puerto Rico, Guam, and the Virgin Islands, up to the amount of the limitations specified in existing law.

The purpose of the provision is to attempt to provide Puerto Rico, Guam and the Virgin Islands with earlier notice than is now possible, of the amount of title XX money which will be available to them for the fiscal year. In recent fiscal years the three jurisdictions have not been using the full amount of their allotments under the title XX program. In fiscal year 1976, the amounts used were: \$7 million by Puerto Rico, \$90,600 by the Virgin Islands, and \$44,000 by Guam.

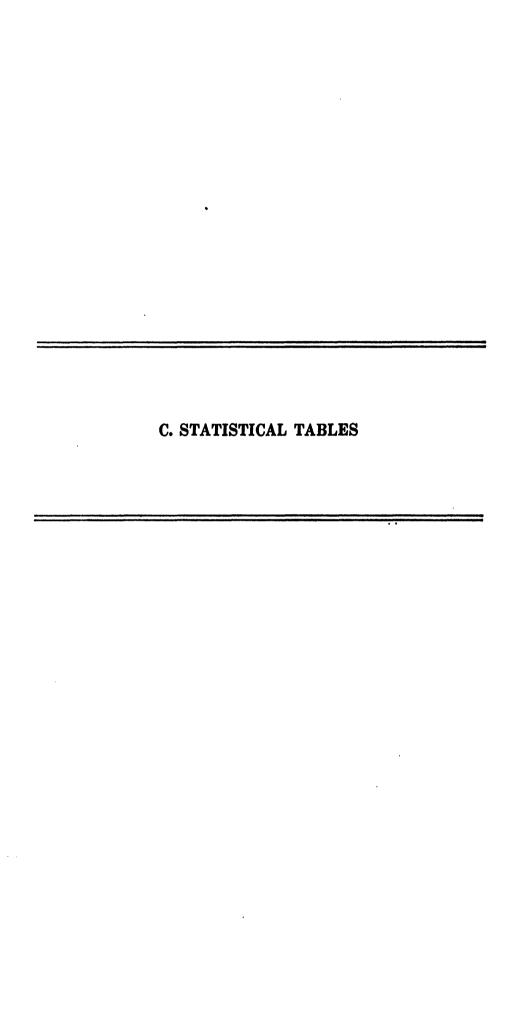


TABLE 7.—LIMIT ON FEDERAL SHARE OF STATE EXPENDITURES FOR SOCIAL SERVICES FOR FISCAL YEAR 1977

[in millions]

	Full allocation under \$2,500.000 limit	Allocation of additional \$200.000 under Public Law 94-401
Total	\$2,500.000	\$200.000
Alabama. Alaska Arizona Arkansas. California	\$42.300 3.975 25.450 24.375 247.250	\$3.384 .318 2.038 1.950 19.780
Colorado. Connecticut. Delaware. District of Columbia. Florida.	29.525 36.525 6.775 8.550 95.675	2.362 2.922 .542 .684 7.654
Georgia Hawaii Idaho Illinois Indiana	57.725 10.025 9.450 131.650 63.025	4.618 .802 .756 10.532 5.042
lowa Kansas Kentucky Louisiana Maine	33.775 26.850 39.700 44.525 12.375	2.702 2.148 3.176 3.562 .990
Maryland Massachusetts Michigan Minnesota Mississippi	48.425 68.600 107.575 46.325 27.475	3.874 5.488 8.606 3.706 2.198
Missouri. Montana. Nebraska. Nevada. New Hampshire.	56.500 8.700 18.250 6.775 9.550	4.520 .696 1.460 .542 .764

TABLE 7.—LIMIT ON FEDERAL SHARE OF STATE EXPENDITURES FOR SOCIAL SERVICES FOR FISCAL YEAR 1977—Continued

[in millions]

	Full allocation under \$2,500.000 limit	Allocation of additional \$200.000 under Public Law 94-401
New Jersey New Mexico New York North Carolina North Dakota	\$86.700 13.275 214.200 63.425 7.525	\$6.936 1.062 17.136 5.074 .602
Ohio Oklahoma Oregon Pennsylvania Rhode Island	126.975 32.050 26.800 139.975 11.075	10.158 2.564 2.144 11.198 .886
South Carolina	32.925 8.075 48.825 142.500 13.875	2.634 .646 3.906 11.400 1.110
Vermont. Virginia. Washington. West Virginia. Wisconsin. Wyoming.	5.550 58.050 41,100 21.175 54.000 4.250	.444 4.644 3.288 1.694 4.320 .340

Source: Department of Health, Education, and Welfare.

TABLE 8.—CHILD CARE CENTERS: MINIMUM STAFFING REQUIREMENTS, BY AGE OF CHILDREN, UNDER STATE LICENSING REGULATIONS

	Maximum number of children per staff member ¹ if age of children is—					Minimum number of	
State	Under 2	2 to 3	3 to 4	4 to 5	5 to 6	School age	adults on premises
Alabama Alaska Arizona Arkansas ⁷ California ⁷	. 5 . 10	² 10 5 10 ⁸ 12 12	10 10 15 12 12	20 10 20 15 12	20 10 25 18 12	² 25 10 25 (°) 12	1 1 2 10 2 (°)
ColoradoConnecticutDelawareDistrict of ColumbiaFlorida	. 4 . ¹² 8 . 4	⁸ 8 4 ¹³ 15 ¹³ 8 12	10 11 5 15 8 15	12 11 7 20 10 20	15 11 7 20 15 25	15 11 10 25 15 25	10 2 2 1 (*) 1
Georgia Hawaii Idaho Illinois Indiana	. (15) . 14 8 . 6	10 10 10 13 10 8 5	15 15 10 10	18 20 10 10 12	20 25 10 25 15	25 25 (9) 25 20	2 1 15 1 2
Iowa. Kansas. Kentucky. Louisiana ²⁰ . Maine ²⁰ .	. ¹⁸ 5 . 6	6 13 7 8 12 8 8	8 10 10 14 10	12 17 10 12 16 15	15 " 10 15 20 15	19 20 16 19 20 25 22 15	10 2 1 6 2 (°)

Maryland Massachusetts Michigan Minnesota Mississippi	(15) 8 4 (21) 14 7 (9)	6 ^{2 17} 10 ⁸ 10 ¹³ 10 (°)	10 10 10 10 (°)	10 2 15 12 10 (°)	13 15 20 10 (°)	(°) 24 15 (°) 15 (°)	1 10 2 25 2 1 25 2
Missouri ⁷	10 4 ¹² 8	8 10 5 7 10	10 10 7 28 10 10	10 10 7 28 10 15	15 10 7 28 10 18	15 10 12 20 20	⁶ 2 2 2 3 2 30 1
New Jersey ³¹ New Mexico New York North Carolina ³² North Dakota	10 14 5 8 12 4	10 5 12 4	15 5 15 6	15 7 20 6	¹⁷ 15 7 25 10	17 15 10 25 19 20	2 1 1 20 20 1
Ohio Oklahoma 11 Oregon Pennsylvania Rhode Island	14 10 12 6 (33) (33)	10 8 12 10 (33) (33)	15 12 10 8 10	15 15 10 10 15	20 15 10 10 25	20 20 215 13 (°)	2 1 1 2 2

See footnotes at end of table.

TABLE 8.—CHILD CARE CENTERS: MINIMUM STAFFING REQUIREMENTS, BY AGE OF CHILDREN, UNDER STATE LICENSING REGULATIONS—Continued

State	Maximum number of children per staff member ¹ if age of children is—						Minimum number of
	Under 2	2 to 3	3 to 4	4 to 5	5 to 6	School age	adults on premises
South Carolina South Dakota Tennessee Texas Utah	12 4 12 6 12 9	8 4 8 11 10	10 5 10 15 15	14 7 15 18 15	15 7 25 22 20	15 20 30 26 25	2 19 2 1 (°)
Vermont Virginia Washington West Virginia Wisconsin	12 7 4	5 10 13 10 8 13 8	10 10 10 10 10	10 10 10 12 12	12 10 10 16 16	12 25 10 16 16	2 8 2 10 2 8 2
Wyoming 7. FIDCR 1968. FIDCR (title XX fundings). HEW recommended guides for day care licensing.	. (31)	8 (³⁴) 4 5	10 5 5 10	15 7 7 10	20 7 7	25 10 3 20	

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¹ Includes only persons providing child care; when there is a mixture of ages, the ratio for the youngest child is generally applicable.

² 5, if children under 2½; in Massachusetts, 4, if under 33 mo.

* 22, if children 6 to 8.

⁴ 2d person aged 16 or above required whenever 2 or more children present.

*8, if children under 15 mo; in Massachusetts, 3, if under 15 mo.
*When the number of children exceeds 10; in Kentucky when number of preschool children exceeds 10, or school age exceeds 15; in Ohio when number exceeds 5; in Wisconsin, when number exceeds 9.

⁷ Applicable to centers licensed to accept children 2½ and over; in California, Missouri, 2 and over; in New Hampshire, 3 and over; in Wyoming, 2 and over.

* 2½ to 3.

9 Not Specified.

When 7 or more children present; in lowa, when such children are 5 or younger; in Nevada, when 3 or more of such children are under 2.

11 Recommended or desirable ratios.

¹² 5, if children under 1; in Florida, 6 if under 1; in Louisiana, 6, if not walking; in Nevada 4, if 6 weeks to 9 mo. 6, if 9 to 18 mo; in North Dakota, 1 if birth to 6 weeks; in Oklahoma, 4, if under 10 mo; in South Dakota, 1 if birth to 6 weeks, 3, if 6 weeks to 18 mo; in Texas, 5, if birth to 1, 6 if 1 to 1½; in Wisconsin, 3 if under 1.

**8, if children under 2½; in District of Columbia, Oregon, 4, if under 2½; in Kansas 5, if walking to 2½; in Minnesota, 7, if under 31 mo: in Washington, 7, if under 2½; in Wisconsin 6, if under 2½.

14 7, if children under 1½; in Idaho, 6, if under 1½; in Minnesota, 4, if under 16 mo; in New York 4, if under 1½; in Ohio, 8, if under 1½.

¹⁶ Children under 2 generally may not be accepted.

16 2, if possible.

¹⁷ If full day; 20, if half day; in Kansas and Massachusetts, 12, if part day; in New Mexico, 20, if in care 3 hr or less.

^{▼ 18} If toddlers (i.e., walking to 2); 4, if infants (i.e., 6 weeks to walking); in Kansas, 3, if 2 weeks to nonwalking under 2.

19 15, if children 6 to 10; in Kentucky, if 6 to 8.

²⁰ Centers serving 11 or more children; in Maine, 15 or more; in Michigan. 10 or more; in Utah. 20, if 6.

21 Children under 2½ generally may not be accepted.

²² 10, if separate before- or after-school program; if children under 7.

23 10, if under 4 years 9 mo.

²⁴ If children under 7.

²⁵ Any center.

²⁶ Children over 5 generally may not be accepted.

For 1st 20 children, 1:15 thereafter 8, if infant/toddler nursery.

²⁸ For 1st 20 children, 1:15 thereafter.

²⁹ For 1st 20 children, 1:15 thereafter. Before and after school care may be provided for 3 additional children or 10 percent in excess of licensed capacity whichever is greater, provided that 1 additional caregiver is present.

20 2d required attendant may be teenager; in New Hampshire,

must be at least 16.

31 2 adults for any total group.

³³ Applicable to centers with 30 or more children. If less than 30, ranging from infancy to 13 years, required staff is: 1 (6 to 10 children), 2 (11 to 20 children), 3 (21 to 29 children).

22 Children under 3 generally may not be accepted.

24 State regulations.

25 1, if under 6 weeks.

34 15, if children 6 to 10; 10, if children 14.

37 If children 12 to 14; 12, if 6 to 7; 16, if 7 to 11.

³⁸ Half the staff required by the applicable ratios for children in the facility at that time.

Note: Current as of Nov. 30, 1976.

D. COST OF PROVISIONS OF H.R. 7200

The House bill provides a continuation of the \$200 million additional social services funding for child care. It seems that all or nearly all of this amount would be used by the States. The territories of Guam, Puerto Rico, and Virgin Islands are now using about half of the \$16 million available to them through reallocation of unused title XX funds. If the provision in H.R. 7200 for earlier reallocation has any impact, it seems likely that some or all of the remaining \$8 million would be used.

III. Child Welfare Services, Foster Care, and Adoptions

A. DESCRIPTION OF PROGRAMS

State activities in the areas of foster care and adoptions are not now closely monitored by the Federal Government. The child welfare services program under title IV-B of the Social Security Act provides a relatively small Federal contribution to the costs of State programs to protect and promote the welfare of children including the provision of services to enable children to remain in their own homes, action to remove children from unsuitable homes and place them in foster care homes or institutions, and measures to place children in adoptive homes. Title IV-B authorizes annual appropriations of up to \$266 million for child welfare services but the appropriation has never exceeded \$56.5 million. State costs of operating these programs actually amount to approximately \$750 million in fiscal year 1976.

Where a child placed for foster care is found to have been eligible for aid to families with dependent children (AFDC) payments in his own home, however, Federal funding for the costs of foster care is available through the AFDC program provided that the child has been removed from his own home and placed in foster care as a result of a judicial proceeding. This provision now accounts for about \$200 million annually in Federal funding of the costs of foster care.

B. PROVISIONS OF H.R. 7200

Conversion of child welfare services program to entitlement.—Under H.R. 7200 as passed by the House, the funding nature of the child welfare services program would be changed from an authorization of \$266 million which is subject to appropriations action (and which has always been funded at a much lower level) to an entitlement program in which States would be assured of full funding if they have sufficient qualified expenditures. The amount of the entitlement nationally would be \$266 million allocated among the States under a formula based on the relative number of children in each State and on the relative per capita income of each State (with higher allotments going to States with lower per capita income). Amounts not needed by a State would be reallocated to the remaining States.

TABLE 9.—ESTIMATED INCREASED ALLOCATIONS TO STATES FOR FISCAL YEAR 1978 FOR CHILD WELFARE SERVICES (TITLE IV-B) UNDER H.R. 7200

States	Fiscal year 1977 allocation	estimated	Increase
AlabamaAlaskaArizonaArkansasCalifornia	\$1,180,089	\$5,526,000	\$4,345,911
	154,230	389,000	234,770
	686,376	3,153,000	2,466,625
	712,954	3,180,000	2,467,046
	4,420,291	21,858,000	17,437,708
Colorado	699,752	3,175,000	2,475,248
	634,935	2,925,000	2,290,065
	191,614	678,000	486,386
	184,564	626,000	441,436
	1,790,153	9,382,000	7,591,847
Georgia	1,492,687	7,136,000	5,643,313
	118,238	310,000	191,762
	258,371	1,027,000	768,629
	322,254	1,264,000	941,746
	2,345,843	11,380,000	9,034,157
Indiana	1,414,892	6,803,000	5,388,108
Iowa	770,259	3,365,000	2,594,741
Kansas	587,103	2,600,000	2,012,897
Kentucky	1,068,569	4,952,000	3,883,431
Louisiana	1,287,954	6,033,000	4,715,046
Maine	378,764	1,594,000	1,215,236
	956,876	4,524,000	3,567,124
	1,328,601	6,503,000	5,174,399
	2,169,716	10,796,000	8,626,284
	1,059,188	4,915,000	3,855,812
Mississippi	892,309	4,158,000	3,265,691
	1,230,106	5,961,000	4,730,894
	276,073	1,073,000	796,927
	444,627	1,893,000	1,448,373
	195,403	710,000	514,597
New Hampshire New Jersey New Mexico New York North Carolina	282,704	1,161,000	978,296
	1,438,211	7,211,000	5,782,789
	459,706	1,980,000	1,520,294
	3,479,774	17,881,000	14,411,226
	1,600,954	7,680,000	6,079,046

TABLE 9.—ESTIMATED INCREASED ALLOCATIONS TO STATES FOR FISCAL YEAR 1978 FOR CHILD WELFARE SERVICES (TITLE IV-B) UNDER H.R. 7200—Continued

States	Fiscal year 1977 allocation	estimated	
North DakotaOhioOklahomaOregon	\$232,419	\$820,000	\$587,581
	2,654,867	13,108,000	10,453,133
	800,588	3,630,000	2,829,412
	628,487	2,800,000	2,171,513
	2,729,585	13,282,000	10,552,415
Puerto Rico	1,505,158	7,222,000	5,716,842
	284,076	1,142,000	857,924
	967,275	4,456,000	3,488,725
	266,025	1,010,000	743,975
	1,258,768	5,890,000	4,631,232
Texas	3,475,706	16,494,000	13,018,294
	493,593	2,155,000	1,661,407
	209,004	749,000	539,996
	112,530	282,000	159,470
	1,298,321	6,102,000	4,803,679
WashingtonWest VirginiaWisconsinWyoming	889,983	4,064,000	3,174,017
	577,528	2,549,000	1,971,472
	1,265,928	5,939,000	4,673,072
	171,163	534,000	362,837

Modifications in State plan requirements.—Under present law State plans for child welfare services must be approved by the Secretary of HEW, but there is no requirement for periodic approval. The House bill would require each State plan to be approved by the Secretary for each fiscal year. The bill also modifies the wording of the specific requirements for plan content in a number of respects including the deletion of several requirements related to child care and the addition of a specific requirement that any child care provided meet title XX standards. The House bill also adds requirements for coordination of services under this program with comparable services provided under title XX and it mandates that States provide such reports and information (and participate in such evaluations) as the Department of Health, Education, and Welfare may require.

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The House bill also rewrites the definition of child welfare services. The new definition differs from the present definition primarily in the deletion of references to child care and to protecting children of working mothers, and in the addition of specific references to services to prevent the unnecessary removal of children from their homes, services to restore children to their homes, and placement of children in adoptive homes.

Matching; maintenance of effort; restrictions on foster care funding.—
The existing child welfare services program provides for Federal and non-Federal sharing of the costs of the program. Within the overall Federal funding available, the Federal matching share ranges from 33% to 66% percent depending on State per capita income. Because of the relatively small amount of overall Federal funding which has been available, however, the effective Federal matching has been much smaller (about 7 percent nationally). The House bill provides that the Federal funding under the program will be available without any percentage matching requirement. However, none of the new funding can be used to pay for foster care, which is the major part of the current program's cost. The bill also requires that State and local funding for child welfare services other than foster care be continued at a level no lower than the level in effect in fiscal year 1977.

Bar against funding child care for employment; other restrictions.—The House bill provides that no Federal funds under the child welfare services program may be used to provide child care services if such services are needed solely to enable the parent to work. It also prohibits the use of funds under the program for construction activities or for educational services which are generally available without cost

and without any income test.

Adoption information system.—H.R. 7200 provides for the Department of Health, Education, and Welfare to arrange for the establishment and operation of a national and regional adoption information exchange system to facilitate the placement of children in adoptive homes. According to the House report this system would serve as a registry for persons seeking children to adopt and for children needing adoptive parents. The House report also indicates that the adoption information system to be established would be expected to be used in efforts to actively recruit potential adoptive parents.

Federal foster care standards.—Starting with fiscal year 1980, the House bill would deny any Federal funding under the child welfare services program to any State whose foster care program fails to meet a detailed list of requirements specified in the bill. The Secretary of Health, Education, and Welfare would have to approve the State's laws, regulations, standards, practices, and procedures as being appro-

priate to assure that:

(1) States make no foster care placements (other than on an emergency basis) without providing certain kinds of preventive services;

(2) Involuntary foster care placements are made only by court action and only on specified grounds and in specified circumstances;

(3) Voluntary foster care placements meet certain procedural

requirements;

(4) Foster homes are selected according to criteria specified in the bill;

(5) After foster care placements are made, certain types of services are made available to the child and to his own parents with a view toward reunifying them;

(6) An individualized case plan is developed for each child in foster care and reviewed every 6 months by a court or other person not directly involved in providing services to the family;

(7) The reviews in item (6) are made in accordance with specified procedural standards including notice to the child and parents and opportunity for them to appear and be represented at the review and written findings and conclusions are to be provided to all parties:

(8) A dispositional hearing before a court or an agency appointed by a court is held no later than 18 months after the original placement to determine the appropriate permanent placement of the child; procedural requirements for this hearing

are specified;

(9) Children are not continued in foster care after the 18-month dispositional hearing except under specified circumstances provided for in the bill and then only if the court or agency conducting the 18-month hearing conducts additional periodic reviews of the situation;

(10) A hearing procedure is available to any parent, foster parent, guardian, or child who disagrees with any action taken under the program; various procedural requirements for such hearings are specified in the bill; appeals to State or Federal courts may be taken if the individual is not satisfied with the hearing results and the courts are to conduct de novo trials of the

issues involved;

(11) States must comply with any regulations promulgated by the Department of Health, Education, and Welfare related to these matters.

AFDC payments for children in foster care.—The aid to families with dependent children (AFDC) program primarily provides for cash public aid to needy families composed of at least one child who is living with his parent or other close relative. However, Federal funding can also be provided for the costs of foster care provided for a child who would be eligible for AFDC except for the fact that he was removed from his own home by a court and placed in a foster care home or a foster care institution. The House bill would eliminate the requirement that the child's removal from his own home be a matter of court determination in cases where the child's natural parent or legal guardian consented to the removal.

The House bill provisions allowing Federal AFDC funding for voluntary foster care placements without a court order would be effective only after a State's foster care program met all of the requirements described above under the heading "Federal foster care

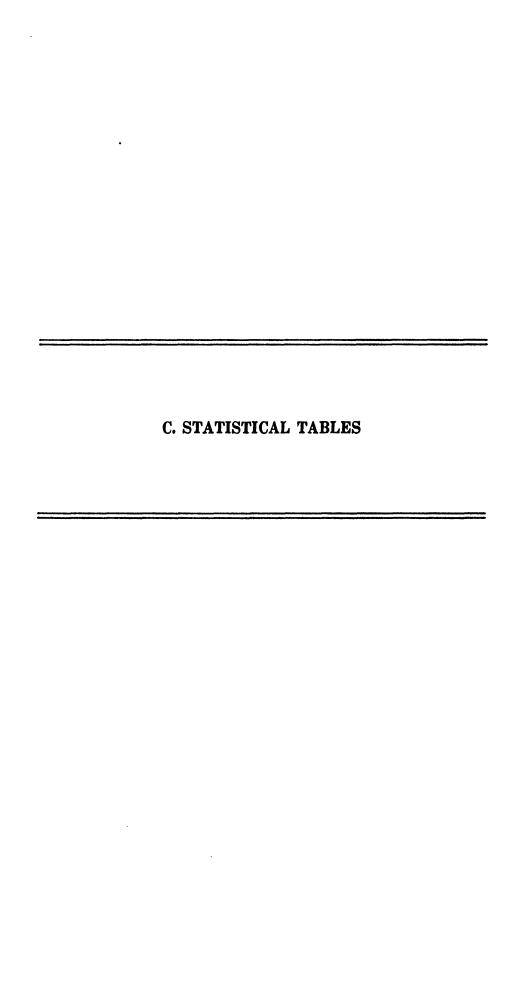
standards."

AFDC foster care funding for children in public institutions.— Federal funding of foster care for children who are otherwise AFDC eligible is available for children placed in foster care homes and also for children placed in a "non-profit private child care institution." The House bill would broaden the provision to allow for Federal funding of institutional foster care for children in public as well as private facilities, but only if the public institution serves no more than 25 resident children.

Adoption subsidy payments under the AFDC program.—Federal law currently makes no specific provision for funding subsidy payments to assist adoptive parents in meeting the costs resulting from adoption. Such subsidies, however, can be provided as a child welfare service and the additional funding in the House bill could be used for this purpose. In addition, the House bill would require States to have a program to make subsidy payments to the adoptive parents of certain children who were previously receiving AFDC foster care payments and would permit the costs of such subsidies to be included

in the State's federally matchable AFDC expenditures.

To qualify for a federally matchable subsidy, the child must have been in AFDC foster care for at least 6 months and must be "hard to place" (because of ethnic background, handicap, age, et cetera), and diligent but unsuccessful efforts to place him without a subsidy must have been made. The amount of the payment is limited to what would have been payable had the child remained in AFDC foster home care, as increased by amounts needed to cover costs associated with preadoption medical, psychological, or dental conditions. Subsidy payments could be made for 1 year, or for the period of time in which the child was in AFDC-foster care, whichever is longer. At the end of this period, if a review of the condition of the child showed that the child continued to suffer from pre-adoption medical or other conditions, subsidy payments could be continued in amounts necessary to cover the costs related to those conditions.



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TABLE 10.—TITLE IV-B—CHILD WELFARE SERVICES: FEDERAL EXPENDITURES 1 FISCAL YEARS 1976-78

States	1976 actual	1977 estimate	1978 estimate
AlabamaAlaskaArizonaArkansasCalifornia	145,691 631,054 648,529	\$1,180,089 154,230 686,376 712,954 4,420,291	\$1,180,089 154,230 686,376 712,954 4,420,291
Colorado	654,331	699,752	699,752
	600,429	634,935	634,935
	182,990	191,614	191,614
	176,753	184,564	184,564
	1,790,153	1,955,009	1,955,009
GeorgiaGuamHawaiiIdahoIllinois	1,386,831	1,492,687	1,492,687
	104,996	118,238	118,238
	242,112	258,371	258,371
	298,391	322,254	322,254
	2,191,368	2,345,843	2,345,843
Indianalowa Kansas Kentucky Louisiana	1,332,903 716,095 552,261 985,895 1,200,530	1,414,892 770,259 587,103 1,068,569 1,287,954	1,414,892 770,259 587,103 1,068,569 1,287,954
Maine Maryland Massachusetts Michigan Minnesota	352,258	378,764	378,764
	902,962	956,876	956,876
	1,238,768	1,328,601	1,328,601
	2,021,492	2,169,716	2,169,716
	993,794	1,059,188	1,059,188
Mississippi	828,299	892,309	892,309
	1,155,053	1,230,106	1,230,106
	258,385	276,073	276,073
	417,585	444,627	444,627
	184,416	195,403	195,403

Footnote at end of table.

TABLE 10.—TITLE IV-B—CHILD WELFARE SERVICES: FEDERAL EXPENDITURES ¹ FISCAL YEARS 1976-78—Continued

• •	••		•
States	1976 actual	1977 estimate	1978 estimate
New Hampshire New Jersey New Mexico New York North Carolina	. 1,357,979 . 426,021 . 3,255,530	\$282,704 1,438,211 459,706 3,479,774 1,600,954	\$282,704 1,438,211 459,706 3,479,774 1,600,954
North Dakota Ohio Oklahoma. Oregon Pennsylvania.	2,469,370 747,150 587,388	232,419 2,654,867 800,588 628,487 2,729,585	232,419 2,654,867 800,588 628,487 2,729,585
Puerto Rico	271,290 895,367 251,212	1,505,158 284,076 967,275 266,025 1,258,768	1,505,158 284,076 967,275 266,025 1,258,768
Texas	3,209,566 452,878 200,241 105,435 1,206,365	3,475,706 493,593 209,004 112,530 1,298,321	3,475,706 493,593 209,004 112,530 1,298,321
Washington	819,851 535,140 1,178,139 160,226	889,983 577,528 1,265,928 171,163	889,983 577,528 1,265,928 171,163
Total	52,500,000	56,500,000	56,500,000

¹ Each State receives a uniform grant of \$70,000 and an additional grant which varies directly with child population under 21 and inversely with average per capita income.

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TABLE 11.—CHILD WELFARE SERVICES: STATE ESTIMATES OF TOTAL EXPENDITURES FROM ALL SOURCES, FISCAL YEAR 1976

	Adoption	Day care	Foster care	Protective services	Other CWS services	Total
Tota!	.\$14,370,112	\$67,256,525	\$541,331,103	\$46,454,651	\$83,145,355	\$752,557,746
Alabama Alaska Arizona Arkansas California	. 251,000	0 0 475,000 0 1,650,000	5,376,393 1,866,650 8,548,400 2,322,641 89,891,169	2,648,524 0 520,400 0 0	1,137,930 0 101,000 619,302 14,181,750	10,487,109 1,866,650 9,895,800 2,941,943 105,722,919
Colorado	. 0	0 32,000 20,731 5,235,600 571,455	9,343,493 9,515,568 410,646 10,860,406 14,193,707	87,661 0 575,041	870,503 98,000 0 157,104 944,980	11,051,500 9,913,229 431,377 17,097,040 21,422,823
Georgia	. 0 . 15.067	0 0 1,126 0	2,449,799 52,800 1,887,036 701,449	0 117,559	0 104,694 143,683 76,641	2,449,799 157,494 2,164,471 778,090 5,106,900
Indianalowa	32,000		5,350,000 988,455 1,166,647	37,000 0 7 0		24,367,469 5,454,000 1,467,955 1,854,895 5,888,530

See footnote at end of table.

TABLE 11.—CHILD WELFARE SERVICES: STATE ESTIMATES OF TOTAL EXPENDITURES FROM ALL SOURCES, FISCAL YEAR 1976—Continued

	Adoption	Day care	Foster care	Protective services	Other CWS services	Total
Maine	\$64,300	\$32,000	\$1,560,700	\$91,000	\$246,800	\$1,994,800
	280,900	8,000	7,913,554	359,020	113,120	8,674,594
	16,000	0	39,900,000	0	184,000	40,100,000
	0	35,160,150	17,714,390	0	0	52,874,540
	13,400	250,300	1,062,770	85,000	536,000	1,947,470
Mississippi	20,000	1,000	1,520,000	70,000	430,300	2,041,300
Missouri	0	0	4,766,771	0	0	4,766,771
Montana	0	0	1,241,798	0	84,066	1,325,864
Nebraska	0	0	1,333,585	0	0	1,333,585
Nevada	0	0	663,000	0	70,000	733,000
New Hampshire New Jersey New Mexico New York North Carolina	1,100,000 0 4,919,260 73,000	0 6,704,000 0 14,195,482 • 217,322	187,000 31,991,830 692,942 148,022,384 4,035,668	9,770,613 0 0 0	253,431 8,795,864 0 0 3,410,508	440,431 58,362,307 692,942 167,137,126 7,736,498

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North DakotaOhioOklahomaOregon ¹ Pennsylvania	2,300,100 12,997 848,338	4,174 1,093,479 63,628	6,000 38,821,441 1,836,675 3,394,353	7,190,200 18,041 14,846,422	419,935 7,944,518 8,535 23,330,212	430,109 57,349,738 1,939,876 4,063,847 42,419,325
Puerto Rico	46,648 102,931 1,265,862 32,000	215,890 25,546 12,191 70,000	1,532,400 5,251,659 1,168,730 1,840,320	195,125 686,683 1,318,557 79,840	19,544 342,506 167,130 120,876	2,009,607 6,409,325 3,932,470 2,143,036 1,977,818
Texas	500,000 25,000 0 0 100,000	40,000 0 876,631 114,690	4,000,000 2,550,000 2,062,000 524,880 8,476,358	0 40,000 0 764,788 555,380	3,144,052 820,800 0 797,793 40,000	7,644,052 3,475,800 2,062,000 2,964,092 9,286,428
WashingtonWest Virginia Wisconsin Wyoming	0 0 0	0 0 0	10,353,487 208,359 2,041,543 299,936	150,000 0 0	526,316 189,361 0	10,353,487 884,675 2,230,904 299,936

¹ Not identified by type of service.

Source: Department of Health, Education, and Welfare (based on voluntary State reports).

TABLE 12.—AID TO FAMILIES WITH DEPENDENT CHILDREN—FOSTER CARE SEGMENT: FISCAL YEAR 1976

•		
State	Total payments computable for Federal funding	Federal share
Total	.\$337,561,504	\$176,730,109
Alabama Alaska Arizona Arkansas California	. 1,239,287 . 97,708 . 571,877	1,152,001 514,716 36,233 426,621 24,319,090
Colorado Connecticut Delaware District of Columbia Florida	. 4,419,158 . 898,242 . 1,075,499	1,082,164 2,209,578 449,120 537,750 74,173
GeorgiaGuamHawaiiIdahoIllinois 1	18,813 73,753 853,941	1,635,383 9,406 36,876 582,217 5,815,500
IndianalowaKansasKentucky	1,750,655 5,200,506 2,339,978	1,673,100 1,000,150 2,809,313 1,670,043 2,126,871
Maine	6,728,784 7,595,022 14,792,883	1,663,177 3,364,392 3,797,511 7,396,440 5,662,933
Mississippi	1,383,289 1,735,723 790,173 916,965 457,304	975,694 1,027,216 499,468 509,744 228,652

TABLE 12.—AID TO FAMILIES WITH DEPENDENT CHILDREN—FOSTER CARE SEGMENT: FISCAL YEAR 1976—Continued

State	Total payments computable for Federal funding	Federal share
New Hampshire	\$658,610 2,559,390 163,537 147,261,163 1,055,615	\$397,011 1,279,694 119,856 73,474,009 718,135
North Dakota Ohio Oklahoma. Oregon. Pennsylvania.	827,771 4,356,349 796,662 5,282,077 10,806,337	476,713 2,369,420 537,112 3,118,539 5,985,629
Rhode Island	412,265 674,239 830,101 2,733,099 1,887,038	233,135 463,956 558,076 1,418,455 1,028,780
Utah Vermont Virginia Washington West Virginia	741,942 712,971 6,357,498 3,983,003 747,244	519,657 497,797 3,708,964 2,139,670 537,269
Wisconsin Wyoming	6,304,483 140,601	3,777,019 85,681

¹ Based on monthly estimates.

TABLE 13.—AID TO FAMILIES WITH DEPENDENT CHILDREN, FOSTER CARE SEGMENT: RECIPIENTS OF MONEY PAYMENTS AND AMOUNT OF PAYMENTS, BY STATE, DECEMBER 1976

[Includes nonmedical vendor payments]

	Total foster care					Foster family homes			Chil	Child care institutions		
•	Total	 	Total	Average	e per-	Total	Total	Total	Total	Total	Total	
State	of cases	Children	amount	Case	Child	cases	children	payments	cases	children	payments	
Total 1	81,091	109,414	\$31,663,734	\$390.47	\$289.39	1 51,359	1 72,992	1 \$9,281,676	1 18,127	1 23,094	1 \$5,717,167	
AlabamaAlaskaAlaskaAlaskaArizonaArizonaArkansasCalifornia	119 75 245	1,445 162 86 464 13,044	138,383 71,585 15,125 49,134 4,449,288	183.53 601.55 201.67 200.55 481.63	95.77 441.88 175.87 105.89 341.10	696 86 66 236 7,075	1,351 116 77 445 10,653	9,062 47,101	58 33 9 9 2,163	94 46 9 19 2,391	8,282 41,828 6,063 2,033 2,192,069	
Colorado 3	. 1,191 . 280 . 266	952 1,894 498 356 156	259,701 473,225 77,965 104,826 65,009	272.80 397.33 278.45 394.08 822.90	272.80 249.85 156.56 294.46 416.72	680 890 221 143 79	680 1,518 402 198 156	221,824 55,088 (1)	272 301 71 123 0	272 376 96 158 0		
Georgia	. 15 . 18 . 129	2,021 23 18 342 3,814	249,899 2,485 2,971 45,552 763,000	235.75 (2) (3) 353.12 200.05		930 15 18 (¹) (¹)	23 18	2,485 2,971 45,228	130 0 (¦)	0	44,926 0 324 (¹)	
Indianalowa. Iowa. Kansas. Kentucky. Louisiana	. 739 . 1,632 . 905	3,450 739 1,632 1,764 1,844	237,041 151,479 360,551 174,127 307,384	88.02 204.98 220.93 192.41 308.00	68.71 204.98 220.93 98.71 166.69	(1) 590 1,194 (1) 920	1,194	226,473	(1)	438	134.078	
Maine	. 3,753 2,313 . 4,538	3,753 2,570 4,538	605,628 663,881 1,837,941	161.37 287.02 405.01	161.37 258.32 405.01	3,326 1,963 3,962	2,216 3,962	5 357,977 2 982,735	350 576	354 576	305,904 855,206	

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Mississippi	522 808 275 521 160	988 1,851 441 625 207	120,013 178,676 97,134 76,853 40,246	229.91 221.13 353.21 147.51 251.54	121.47 96.53 220.26 122.96 194.43	522 738 275 479 151	988 1,691 441 575 198	120,013 163,256 97,134 69,168 32,392	(1) 70 0 42 9	160 50 50	15,420 0 7,685 7,854	•
New Hampshire	601 1,564 58 16,734 1,488	601 2,213 116 24,880 2,679	59,437 372,801 14,836 14,338,641 283,581	98.90 238.36 255.79 856.86 190.58	98.90 168.46 127.90 576.31 105.85	474 1,123 48 6,758 1,211	474 1,682 100 11,199 2,122	44,576 207,026 10,927 (1) 226,725	127 441 10 9,976 322	127 531 16 13,681 557	14,861 165,775 3,909 (') 56,856	
North DakotaOhio 3OkiahomaOkiahomaOregonPennsylvania	256 2,885 333 1,778 2,566	395 4,603 658 1,778 2,566	68,012 318,762 67,013 486,966 417,716	265.67 110.49 201.24 273.88 162.79	172.18 69.25 101.84 273.88 162.79	206 2,712 333 1,563 (')	340 4,316 658 1,563 (¹)	49,508 295,091 67,013 276,946 (¹)	50 221 0 215 (')	55 287 0 215 (¹)	18,504 23,671 0 210,020 (')	
Puerto Rico	(1) 108 406 306 774	(1) 183 669 438 1,874	32,564 63,749 52,200 247,780	(1) 301.52 157.02 170.59 320.13	(¹) 177.95 95.29 119.18 132.22	(1) 406 296 692	(1) 669 426 1,689	(1) (3) 63,749 45,612 216,086	(3) í 100 120	(1) (1) (10) (12) (185)	(;) 0 6,588 31,694	
Texas	3,191 361 224 (1) 1,925	3,191 361 437 (1) 3,360	415,391 53,805 50,049 (1) 447,129	130.18 149.04 223.43 (1) 232.27	130.18 149.04 114.53 (1) 133.07	2,990 (1) 190 (1) 1,775	2,990 370 3,190	380,254 (1) 18,674 (1) 396,456	201 (1) 34 (1) 150	201 (') 67 (') 170	35,137 (1) 31,375 50,673	10
Washington	1,112 248 3,251 50	1,643 486 3,251 68	317,104 57,647 1,067,909 15,242	285.17 232.45 328.49 (1)	193.00 118.62 328.49 224.15	951 204 2,777 (¹)	1,442 423 2,777 (¹)	224,526 43,226 492,133 (¹)	161 44 474 (¹)	201 63 474 (¹)	92,578 14,421 575,776 (¹)	

¹ Incomplete. Data for foster care not reported by Puerto Rico and the Virgin Islands. Foster family homes and child care institution columns will not add to total due to nonreporting of these items by several States. ² Average payment not computed on base of fewer than 50 cases or children.

³ Estimated data.

TABLE 14.—CHILDREN FOR WHOM ADOPTION PETITIONS WERE GRANTED BY RELATIONSHIP OF PETITIONER TO CHILD: NUMBER AND PERCENTAGE DISTRIBUTION BY STATE, 1975

			Number of	children				Percent	age distrib	oution	
-			Adopted by	y related p	etitioner			Adopted b	y related p	etitioner	
State	Total	Adopted by unre- lated pe- titioner	Total	Own parent/ step- parent	Other relative	Relation- ship not reported	Adopted by unre- lated pe- titioner	Total	Own parent/ step- parent	Other relative	Relation- ship not reported
Alabama Arkansas California ¹ Connecticut ¹ Delaware	2,675 769 11,015 722 314	419 243 4,271 498 92	2,157 525 6,744 224 222	1,534 407 6,744 192 207	623 118 (1) 32 15	99 1	16 32 39 69 29	81 68 61 31 71	57 53 61 27 66	23 15 (*) 4 5	(²)
District of Columbia Florida Georgia Hawaii Indiana	293 6,523 2,047 742 5,379	202 1,714 594 143 1,625	89 4,347 1,397 598 3,754	69 4,337 1,155 433 3,307	20 10 242 165 447	1	69 26 29 19 30	30 67 68 81 70	24 66 56 58 61	7 (²) 12 22 8	1 7 3 (²)
lowa Kansas ¹ Kentucky Louisiana Maine	2,521 847 1,560 2,847 1,042	793 647 448 737 255	153 730 2,108	1,604 37 553 1,763 718	117 116 177 345 .35	382 2	31 76 29 26 24	68 18 47 74 72	64 4 35 62 69	5 14 11 12 3	(²) 6 24 (°)
Maryland ¹	2,165 1,034 8,912 3,135 2,185	588 1,000 3,284 1,570 694	34 4,683 1,565	1,422 19 4,338 1,488 1,294	143 15 345 77 146	945	37	72 3 53 50 66	66 2 49 47 59	7 1 4 2 7	11

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Nevada New Hampshire New Jersey New Mexico New York ¹	388 648 2,626 997 3,605	110 201 1,223 301 1,755	274 437 1,369 693 1,850	240 417 1,221 527 1,683	34 20 148 166 167 .	4 10 34 3	28 31 47 30 49	71 67 52 70 51	62 64 46 53 47	9 3 6 17 5	1 2 1 (*)
North CarolinaOhioOklahoma ¹ PennsylvaniaPuerto Rico	3,701 7,588 725 5,983 224	1,053 2,785 629 2,233 77	2,646 4,803 85 3,738 145	2,058 4,406 19 3,430 48	588 397 . 66 308 97	11 12 2	28 37 87 37 34	71 63 12 62 65	56 58 3 57 21	16 5 9 5 43	(?) 2 (?) 1
South DakotaTennessee 1	517 2,107 6,316 448 502	201 557 2,013 404 155	313 1,535 4,175 40 346	292 1,261 3,281 (³) 338	21 274 894 40 8	3 15 128 4 1	39 26 32 90 31	61 73 66 9 69	56 60 52 (³) 67	4 13 14 9 2	1 1 2 1 (2)
Virginia Washington West Virginia Wisconsin Wyoming	3,866 2,885 1,219 2,573 543	1,152 997 210 1,207 (*)	2,685 1,872 1,009 1,366 (*)	2,312 1,728 828 1,287 (*)	373 144 181 79	29 16 543	30 35 17 47 (³)	69 65 83 53 (*)	60 60 68 50 (*)	~	100

For limitations on data see annotations.
Less than 0.5 percent.
Not reported.

ANNOTATIONS

3. For California, agency adoptions represent adoptions completed, independent adoptions represent adoptions where approval was recommended, and stepparent adoptions represent the number of petitions filed.

4. For Kansas and Utah, stepparent adoptions were not included.

5. For Maryland and Missouri, not all counties in the States reported.

6. For New York, data reported by surrogates' court only.

7. For Oklahoma, data reported by the welfare department only.

^{1.} Reports on adoptions were received from 42 States and jurisdictions. No reports were received from Alaska, Arizona, Colorado, Guam, Idaho, Illinois, Mississippi, Montana, North Carolina, Oregon, Rhode Island, and the Virgin Islands. Reports for Nebraska and South Carolina incomplete; not usable.

2. For Tennessee, data reported for fiscal year 1975; for Connecticut and Minnesota, data reported for fiscal year 1976.

D. COST OF PROVISIONS OF H.R. 7200

The House bill increases the actually available funding for child welfare services by \$209.5 million per year above existing levels. This is provided on an entitlement basis with no non-Federal matching required. It thus appears reasonable that the full amount would soon be used by the States. However, since the new money would not be available for foster care, it seems possible that the first-year actual usage might be somewhat lower.

The provision allowing AFDC foster care payments to be made without judicial determination has been estimated by the Department to have a first-year cost of \$50 to \$75 million with a cost of up to \$200 million in subsequent years. This is substantially higher than the \$17 to \$20 million cost attributable to this provision by Congres-

sional Budget Office.

The provision permitting AFDC funding for adoption subsidies to children formerly receiving AFDC foster care would involve some cost to the extent that children are provided the subsidy who would have been adopted in any case and to the extent that services not presently matchable under AFDC or medicaid are paid for through the subsidy. It would also have some offset to the extent that children now in institutions are adopted as a result of the subsidy. The Department has been unable to estimate the impact of this provision.

IV. Aid to Families With Dependent Children

A. DESCRIPTION OF PROGRAMS

The program of aid to families with dependent children (AFDC) provides Federal matching for State programs of cash assistance for needy families with children in which at least one parent is deceased, disabled, or absent from the home. States, at their option, may also provide benefits for families in which dependency arises from the father's unemployment. (Twenty-six States plus Guam and the District of Columbia have elected to provide benefits to unemployed-father families).

The amount of Federal matching for AFDC cash assistance payments varies from State to State under a formula providing higher percentages in States with lower per capita income (within a possible range of 50 to 83 percent). Each State determines the level of income

assurance which it will provide to families of various sizes.

Adult members of AFDC families who are capable of employment (with certain exceptions such as mothers caring for pre-school-age children) are required to register for participation in the work incentive (WIN) program established under title IV-C and to accept training or employment offered through that program. Federal funding for the WIN program including the costs of necessary supportive services is provided at a 90-percent matching rate. This program is subject to annual appropriations and is presently funded at a level of \$365 million. Legislation enacted earlier this year (Public Law 95-30) authorized additional appropriations up to \$435 million for fiscal years 1978 and 1979 to be used without any non-Federal matching requirement. No funding under that provision has yet been appropriated.

The work incentive (WIN) program was originally enacted by Congress in 1967 with the purpose of reducing welfare dependency through the provision of manpower training and job replacement services. In 1971 the Congress adopted amendments aimed at strengthening the administrative framework of the program and at placing greater emphasis on immediate employment instead of institutional training, thus specifically directing the program to assist individuals in the transition from welfare to work. In the same year, Congress also provided for a tax credit to employers who hire WIN participants, equal to 20 percent of the wages paid for a maximum of 12 months'

employment.

The 1971 amendments required that all persons at least 16 years of age and receiving AFDC benefits must register for WIN, unless legally exempt by reason of health, disability, needed in the home, advanced age, student status, or geographic location. Registrants selected for participation in WIN must accept available jobs, training, or needed services to prepare them for employment. Refusal to do so without good cause will result in termination of their AFDC payments.

Since these amendments were enacted, there has been a significant increase in the number of persons placed in employment with resultant

savings in AFDC funding.

The child support enforcement program, enacted at the end of the 94th Congress as title IV-D of the Social Security Act, mandates aggressive administration at both the Federal and local levels with various incentives for compliance and with penalties for noncompliance. The program includes child support enforcement services for both welfare and non-welfare families. The child support enforcement program leaves basic responsibility for child support and establishment of paternity to the States, but provides for an active role on the part of the Federal Government in monitoring and evaluating State child support enforcement programs, in providing technical assistance, and, in certain instances, in undertaking to give direct assistance to the States in locating absent parents and obtaining support payments from them. To assist and oversee the operation of State child support programs, the Department of Health, Education, and Welfare is required to set up a separate organizational unit under the direct control of a person designated by and reporting to the Secretary. This office reviews and approves State child support enforcement plans, evaluates and audits the implementation of the program in each State, and provides technical assistance to the States. The act also provides for a parent locator service within the Department of HEW's separate child support enforcement unit. The act further requires that a mother, as a condition of eligibility for welfare, assign her right to support payments to the State and cooperate in identifying and locating the father, and securing support payments.

B. PROVISIONS OF H.R. 7200

Child support enforcement program.—The legislation creating the child support program (Public Law 93-647) required each State to have a program of child support collection and paternity establishment services for both AFDC and non-AFDC families. The statute provided Federal matching of 75 percent for services to AFDC families on a permanent basis. Matching for services to non-AFDC families was provided for 1 year, but was extended for a second year, to July 1, 1977, under Public Law 94-365. In order to assure the continuity of the program, and to give the committee time to consider possible amendments, the committee in June reported an amendment to extend the matching provision for services to non-AFDC families through fiscal year 1978. This was enacted in Public Law 95-59.

The House bill provides for continued Federal matching for services to non-AFDC families in fiscal years 1978 and 1979, but with certain conditions and restrictions. Matching would be available only on behalf of non-AFDC families whose incomes were determined to be not more than double the State AFDC standard of need. In addition, States would be required to charge non-AFDC families a fee for services, a provision which is optional under present law. States would also be required to collect any costs which are in excess of the application fee by deducting the cost from the amount of any child support money that is collected. Specific limits are placed on the amount of the collec-

tions which could be deducted.

Federal financial participation in certain restricted payments under the AFDC program.—Under existing law States are allowed to make

protective or vendor payments, instead of direct cash payments, with respect to recipients of aid to families with dependent children. The number of recipients with respect to whom such payments may be made in any State may not exceed 10 percent of the number of other AFDC recipients, and the payments may be made only under specified conditions. State plans for such payments must include provisions for: (1) determination by the State agency that the relative of the child with respect to whom the payments are made has such inability to manage funds that making payments to him would be contrary to the welfare of the child; (2) undertaking and continuing special efforts to develop greater ability on the part of the relative to manage funds in such manner as to protect the welfare of the family; and (3) periodic review by the State agency of the determination to make protective or vendor payments to ascertain whether conditions justifying the determination still exist, with provision for termination of the payments if they do not, and for seeking judicial appointment of a guardian or other legal representative if it appears that the need for protective or vendor payments is continuing or is likely to con-

tinue beyond a specified period.

The House bill contains several provisions relating to protective and vendor payments. First, it would increase from 10 to 20 percent the limit on the number of recipients with respect to whom a State could make such payments. Second, in cases in which the State agency determined that the relative had such inability to manage funds that making payments to him would be contrary to the welfare of the child, payments could be made in the form of checks drawn jointly to the order of the recipient and the person furnishing goods, services or items recognized as part of the child's need. Such joint checks could be made at the discretion of either the State or local agency administering the State plan. A statement of the specific reasons for making the payments in that manner would have to be placed in the case file. Third, in addition to the protective and vendor payments which the State or local agency could make subject to the new 20-percent limitation, States would be allowed to make payments to cover the cost of utility services or living accommodations in the form of checks drawn jointly to the order of the recipient and the person furnishing the services or accommodations. Such joint checks would have to be requested by the recipient in writing, and the request would be effective until revoked by the recipient. The amount of the monthly payment which could be made in the form of joint checks would be limited to 50 percent. These joint checks could be made at the discretion of either the State or local agency administering the State plan, and there would be no limit on the number of recipients with respect to whom joint checks to pay for housing or utilities could be written. This third provision for joint checks would be limited to 2 years, from October 1, 1977 to October 1, 1979.

In addition to authorizing increased numbers and forms of protective and vendor payments, the House bill would provide that Federal matching funds could not be denied to any State-for the period between January 1, 1968, and April 1, 1977: (1) because the State exceeded the 10-percent limitation on these payments; (2) because it provided assistance in the form of joint checks; or (3) because it did not comply with the State plan provisions described above which limit the conditions under which protective or vendor payments may be made.

Removal of ceiling on Federal matching funds for AFDC in Puerto Rico, Guam, and the Virgin Islands.—Under present law there is a dollar ceiling on Federal matching for costs of cash assistance, administration, and social services provided under the programs of aid to families with dependent children and aid to the aged, blind and disabled in the jurisdictions of Guam, Puerto Rico, and the Virgin Islands. The annual ceiling is \$24 million for Puerto Rico, \$1.1 million for Guam, and \$0.8 million for the Virgin Islands. These limits have been in effect since 1972. By providing for the establishment of the SSI program in Guam, Puerto Rico and the Virgin Islands, the House bill would have the effect of making all the public assistance funds for these jurisdictions available for use in the AFDC program. However, a second provision of the House bill would go beyond this to eliminate also the dollar ceilings as they apply to AFDC. The bill would, however, retain the requirement for 50 percent matching of AFDC cash assistance payments.

Gocial Services for the aged, blind and disabled in Puerto Rico, Guam and the Virgin Islands.—The titles of the Social Security Act which authorize Puerto Rico, Guam and the Virgin Islands to provide cash assistance to needy families (AFDC), and to the aged, blind and disabled, also authorize the provision of social services to past, present and potential recipients of cash assistance. The money for these services, which is limited by the dollar ceilings on expenditures described above, is supplemented by any funds which may be available to these jurisdictions under title XX. However, the plans for services are developed under the cash assistance titles; these jurisdictions have no guarantee that title XX funds will be available to them and are not required to develop title XX service plans, or otherwise meet the

requirements of title XX.

The House bill does not affect the authority of the jurisdictions to use both AFDC and title XX money for services in behalf of needy families. However, by repealing the cash assistance programs for the aged, blind and disabled and replacing them with the Federal SSI program, the bill eliminates the authorization under existing law for a social services program for these individuals. Instead, it adds a new provision to title XX under which Puerto Rico, Guam, and the Virgin Islands may develop their own "ad hoc" service plans, to be approved by the Secretary, for services to past, present or potential recipients of SSI. Any title XX money which is made available to them may be spent under these plans with no requirement for matching of Federal funds. This creates a situation in which services in behalf of families may receive 75 percent Federal matching, while services for the aged, blind and disabled may receive 100 percent Federal matching. Under title XX the 50 states receive 75 percent Federal matching for services.

C. STATISTICAL TABLES

TABLE 15.—AID TO FAMILIES WITH DEPENDENT CHILDREN: RECIPIENTS OF MONEY PAYMENTS AND AMOUNT OF PAYMENTS, BY STATE, DECEMBER 1976

[Includes nonmedical vendor payments, unemployed father segment and AFDC-foster care]

				Payments	s to recipients	;
	Number of	Number of i	recipients		Average	per-
State	families	Total	Children	Total amount	Family	Recipient
Total	3;570,035	11,180,966	7,883,064	\$843,939,867	\$236.40	\$75.48
AlabamaAlaskaArizonaArkansas	53,947 3,937 19,023 30,569 472,760	166,764 10,537 58,966 94,536 1,433,649	122,755 7,744 43,904 70,455 979,277	2,710,978	111.83 291.50 142.51 135.23 283.96	36.18 108.91 45.98 43.73 93.64
Colorado. Connecticut. Delaware. District of Columbia. Florida.	31,484 43,149 10,223 30,336 80,311	92,015 134,688 30,442 94,075 241,137	64,669 95,919 21,880 67,193 178,486	7,322,378	274.12 205.35 241.38	72.88 87.82 68.96 77.84 44.73
Georgia	88,460 1,112 16,983 6,750 226,861	255,120 4,155 55,202 19,518 776,685	189,854 3,145 37,650 13,651 558,066	213,053 6,097,371 1,678,186	191.59 359.03 248.62	33.53 51.28 110.46 85.98 79.99

TABLE 15.—AID TO FAMILIES WITH DEPENDENT CHILDREN: RECIPIENTS OF MONEY PAYMENTS AND AMOUNT OF PAYMENTS, BY STATE, DECEMBER 1976—Continued

[Includes nonmedical vendor payments, unemployed father segment and AFDC-foster care]

				Payments	s to recipients	5	
	Niverbay of	Number of re	cipients		Average per—		
State	Number of — families	Total	Children	Total amount	Family	Recipient	
ndiana owa Kansas Kentucky Louisiana	55,818 31,355 27,225 67,660 66,322	167,788 95,697 75,379 208,684 223,122	122,039 64,928 55,150 144,348 166,770	\$9,463,077 7,742,947 6,256,190 11,652,340 7,926,273	\$169.53 246.94 229.80 172.22 119.51	\$56.40 80.91 83.00 55.84 35.52	
MaineMarylandMassachusettsMichiganMinnesotaMinnesota	19,717 74,535 119,625 204,255 45,784	60,033 213,711 370,644 653,021 131,265	41,969 150,517 255,209 453,856 90,955	3,776,116 12,732,729 37,342,231 60,260,113 12,299,800	170.83 312.16 295.02	62.90 59.58 100.75 92.28 93.70	
Mississippi Missouri Montana Nebraska Nevada	52,812 89,750 6,377 11,450 4,508	175,961 272,571 17,907 34,451 12,826	134,810 194,292 12,808 24,608 9,179	2,531,269 12,302,299 1,108,230 2,295,926 702,572	137.07 173.79 200.52	14.39 45.13 61.89 66.64 54.78	

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New Hampshire New Jersey New Mexico New York North Carolina	8,447	25,165	17,419	1,591,029	188.35	63.22
	137,390	447,817	318,054	36,211,766	263.57	80.86
	17,581	55,985	40,199	2,477,759	140.93	44.26
	377,968	1,242,008	859,582	143,818,762	380.51	115.80
	70,589	200,423	147,656	11,025,941	156.20	55.01
North Dakota Ohio ¹. Oklahoma Oregon Pennsylvania	4,730	13,763	9,861	1,100,590	232.68	79.97
	187,367	571,534	390,266	36,564,455	195.15	63.98
	28,460	89,329	66,828	5,919,920	208.01	66.27
	42,281	122,073	80,754	10,860,048	256.85	88.96
	200,674	647,665	440,714	58,886,535	293.44	90.92
Puerto Rico ² Rhode Island South Carolina South Dakota Tennessee	44,325	195,900	142,118	2,029,068	45.78	10.36
	16,980	52,681	36,672	4,487,284	264.27	85.18
	46,069	138,565	101,159	3,909,967	84.87	28.22
	8,144	24,280	17,866	1,577,447	193.69	64.97
	68,693	202,908	148,124	7,201,733	104.84	35.49
Texas	98,777	321,010	238,990	10,274,927	104.02	32.01
	12,331	36,643	26,783	3,002,106	243.46	81.93
	6,937	22,754	14,866	1,788,787	257.86	78.61
	1,144	3,737	3,056	146,871	128.38	39.30
	59,261	174,052	124,762	11,434,255	192.95	65.69
Washington	48,735	142,780	93,094	12,218,066	250.70	85.57
	21,271	64,729	44,711	3,715,436	174.67	57.40
	66,386	198,053	138,666	19,152,062	288.50	96.70
	2,397	6,563	4,778	467,144	194.89	71.18

¹ Estimated data. ² Incomplete.

TABLE 16.—AID TO FAMILIES WITH DEPENDENT CHILDREN, UNEMPLOYED FATHER SEGMENT: RECIPIENTS OF MONEY PAYMENTS AND AMOUNT OF PAYMENTS, BY STATE, DECEMBER 1976

[Includes nonmedical vendor payments]

				,			Per	rcentage ch	nange from-	-
				Daum	ents to recipien	•	November in-		December in-	
	No han ad	Number of re	cipients	rayiii	Average		Number of		Number of	
State	Number of — families	Total	Children	Total amount	Family	Recipient	recipi- ents	Amount	recipi- ents	Amount
California	40,687	172,662		\$14,038,057	\$345.03	\$81.30	3.2	3.4	6.7	22.1
Colorado	1,538	6,589	3,533	463,911	301.63	70.41	11.8	11.6	-5.6	-5.0
Connecticut	1,032	4,771	2,726		369.21	79.86	5.1	3.7	40.7	39.2
Delaware District of	264	·1,141	635	75,073	284.37	65.80	9.4	5.1	101.6	118.1
Columbia	260	1,159	712	89,420	343.92	77.15	2.7	5.0	15.7	28.6
Guam	16	80	48	3,751	(1)	46.89	(1)	(¹) 6.8	(1)	(¹) 29.7
Hawaii	455	1,997	1,103		465.37	106.03	5.2	6.8	16.2	
Illinois	11,345	55,264	33,036		376.29	77.25	0.3	2.0	-16.9	-11.5
lowa	991	4,239	2,318		340.48	79.60	3.7	5.0	56.3	38.6
Kansas	508	2,042	1,159	171,903	338.39	84.18	7.4	21.0	71.9	133.7

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Kentucky	6,952	31,309	17,819	1,743,763	250.83	55.70	6.1	5.6	59.3	49.3
Maryland	2,227	9,768	5,406	554,872	249.16	56.81	12.6	14.0	35.0	34.7
Massachusetts	5,707	24,950	14,249	2,364,706	414.35	94.78	5.9	6.3	33.4	39.1
Michigan	16,080	74,975	42,956	6,792,756	422.44	90.60	2.5	4.7	-0.5	2.1
Minnesota	1,687	7,288	4,002	609,129	361.07	83.58	10.1	9.7	28.7	34.4
Missouri	340	1,579	899	65,828	193.61	41.69	14.7	14.8	637.9	646.3
Montana	138	598	333	35,548	257.59	59.44	7.6	3.3	54.5	53.2
Nebraska	46	212	121	11,958	(1)	56.41	-0.5	-0.4	4.4	13.3
New York	13,272	61,473	35,328	4,843,143	364.91	78.78	33.7	17.1	111.7	81.3
Ohio ³	20,990	87,952	47,309	5,401,247	257.32	61.41	0.6	1.6	13.0	13.7
Oregon	5,341	22,284	11,681	1,852,864	346.91	83.15	13.0	12.0	27.8	42.6
Pennsylvania	8,286	35,611	19,151	2,923,643	352.84	82.10	12.9	8.8	40.2	42.4
Rhode Island	469	2,117	1,217	151,955	324.00	71.78	8.0	-2.8	-21.2	-20.2
Utah	814	3,993	2,562	304,455	374.02	76.25	-1.4	-0.7	-11.9	-8.4
Vermont	1,146	5,159	2,924	360,839	314.87	69,94	-2.7	-12.6	-14.6	-21.3
Washington	4,343	17,839	9,569	1,503,916	346.29	84.30	10.9	12.9	5.6	2.7
West Virginia	705	3.117	2.086	194,570	275.99	62.42	6.7	10.5	-59.9	54.3
Wisconsin 3	4,402	20,932	11,924	1,766,917	401.39	84.41	10.7	8.3	17.2	14.2
Total	150,041	661,100	376,331	51,523,435	343.40	77.94	6.5	5.8	14.2	19.0

¹ Average payment not computed on base of fewer than 50 families or recipients; percentage change on fewer than 100 recipients.
² Estimated data.

Source: Department of Health, Education, and Welfare.

TABLE 17.—AID TO FAMILIES WITH DEPENDENT CHILDREN: MAINTENANCE ASSISTANCE PAYMENTS, SOURCE OF FUNDS, BY STATE, FISCAL YEAR 1976

	Total payments				Po	ercentage	
	computable for Federal funding	Federal funds (unadjusted)	Local funds	State funds	Federal funds	Local funds	State funds
Alabama Alaska Arizona Arkansas California	13,457,182 33.977.273	\$46,923,717 6,623,664 18,895,181 37,418,805 712,346,276	0 0 0 0 \$253,580,487	\$14,940,705 6,833,518 15,082,092 12,740,451 458,765,790	75.8 49.2 55.6 74.6 49.9	0 0 0 0 17.7	24.2 50.8 44.4 25.4 32.2
Colorado	131,786,271 23,649,023 91,865,652	45,517,087 65,893,135 11,824,511 45,932,825 68,315,478		21,009,386 65,893,136 11,824,512 45,932,827 52,120,845	54.6 49.9 49.9 49.9 56.7	20.0 0 0 0	25.4 50.1 50.1 50.1 43.3
Georgia	1,993,810 64,632,077 19,796,706	90,120,035 996,805 32,316,039 13,497,394 358,715,572	755,825 0 0	32,559,950 241,080 32,316,038 6,299,312 361,349,567	50.0 50.0 68.1	0 37.9 0 0	26.6 12.0 50.0 31.9 50.2
IndianalowaKansasKentuckyLouisiana	. 98,783,931 . 67,602,756 . 132,730,945	66,425,552 56,435,260 36,519,009 94,730,076 71,272,647	0 0	28,806,298 42,348,671 31,083,747 38,000,869 27,156,570	57.1 54.0 71.3	17.6 0 0 0 0	25.0 42.9 46.0 28.7 27.6

Maine	154,441,383 415,121,135	32,943,539 77,220,692 207,560,568 373,359,550 88,757,624	0 4,413,052 0 0 29,087,774	13,718,697 72,807,639 207,560,568 373,359,550 38,304,366	70.6 50.0 50.0 50.0 56.8	0 3.0 0 0 18.6	29.4 47.0 50.0 50.0 24.6	
Mississippi Missouri Montana Nebraska Nevada	32,017,662 140,017,934 12,786,884 28,780,341 10,317,578	26,504,646 85,774,453 8,082,589 15,998,096 5,158,789	0 0 1,008,552 0 0	5,513,016 54,243,481 3,695,743 12,782,245 5,158,789	82.7 61.2 63.2 55.5 50.0	0 0 7.8 0	17.3 38.3 29.0 44.5 50.0	
New Hampshire New Jersey New Mexico New York	426,793,857 32,125,612 1,563,184,768	14,270,380 213,396,928 23,544,860 766,768,978 84,281,786	6,700 52,226,857 0 428,746,351 19,711,194	9,496,410 161,170,072 8,580,752 367,669,439 19,896,165	60.2 . 49.9 73.2 49.0 68.0	12.2 0 27.4 15.9	39.8 37.9 26.8 23.5 16.0	00
North Dakota Ohio Oklahoma Oregon Pennsylvania	446,319,654 65,506,367 113,521,471	7,556,970 242,753,261 44,164,394 67,023,078 360,558,979	1,044,992 0 0 1,165 0	4,520,057 203,566,393 21,341,973 46,497,228 290,386,681	57.5 54.3 67.4 59.0 55.3	7.9 0 0	34.4 45.6 32.6 41.0 44.6	
Puerto RicoRhode IslandSouth CarolinaSouth DakotaTennessee	24,171,922 51,270,478 46,352,487 20,140,672 85,756,646	12,085,960 28,993,455 35,670,249 13,540,573 62,722,396	0 0 0 0	12,085,962 22,277,023 10,682,238 6,600,099 23,034,250	50.0 56.5 76.9 67.2 73.1	0 0 0 0	50.0 43.4 23.1 32.8 26.9	

TABLE 17.—AID TO FAMILIES WITH DEPENDENT CHILDREN: MAINTENANCE ASSISTANCE PAYMENTS, SOURCE OF FUNDS, BY STATE, FISCAL YEAR 1976—Continued

	Total payments	al payments			P	ercentage	
	computable for Federal funding	Federal funds (unadjusted)	Local funds	State funds	Federal funds	Local funds	State funds
Texas	\$137,686,030 35,237,274	24,680,187	0	\$37,528,958 10,557,087	72.7 70.0	0	27.3 30.0
/ermont/irgin Islands/irginia	1,849,649	18,528,902 924,824 80,904,947	0 \$1,462,344	8,009,198 924,825 56,311,054	69.8 49.9 58.3	0 1.0	30.2 50.1 40.7
WashingtonWest VirginiaWisconsinWyoming	52,466,290 210,875,774	86,245,728 37,671,723 126,335,680 2,986,169	0 0 0 684,505	74,301,046 14,794,567 84,540,084 1,229,507	53.7 71.8 59.9 60.9	0 0 0 13.9	46.3 28.2 40.1 25.0
Total		5,257,846,614		3,588,350,535	54.3	8.6	37.1

Source: Based on State expenditure reports.

TABLE 18.—AID TO FAMILIES WITH DEPENDENT CHILDREN: ESTIMATED TOTAL EXPENDITURES, FISCAL YEAR 1978¹

[Amounts in dollars]

States and territories	Aid to families with dependent children	Emergency assistance	State and local administration	Total	Total, Federal share
Alabama Alaska Arizona Arkansas California	13,292,000 35,300,000 53,833,000		13,800,000 2,018,000 6,578,000 5,244,000 230,728,000	85,238,000 15,310,000 41,878,000 59,077,000 1,922,730,000	59,614,000 7,655,000 21,769,000 41,414,000 961,365,000
Colorado	158,440,000 27,854,000 85,920,000	1,010,000 160,000 1,200,000	9,830,000 6,906,000 2,060,000 9,816,000 29,132,000	104,285,000 166,356,000 30,074,000 96,936,000 162,824,000	55,647,000 83,178,000 15,037,000 48,468,000 90,169,000
Georgia	2,428,000 84,830,000 22,649,000		21,394,000 194,000 3,018,000 3,698,000 76,224,000	132,394,000 2,622,000 87,848,000 26,347,000 786,122,000	87,030,000 ² 1,482,000 43,924,000 16,249,000 393,061,000
IndianalowaKansasKentuckyLouisiana	117,182,000 78,944,000 141,199,000	146,000 556,000	13,966,000 13,160,000 6,406,000 16,500,000 20,168,000	152,468,000 130,342,000 85,496,000 158,255,000 125,051,000	87,120,000 67,468,000 44,603,000 106,958,000 83,974,000

[Amounts in dollars]

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States and territories	Aid to families with dependent children	Emergency assistance	State and local administration	Total	Total, Federal share ¹	
Maine Maryland Massachusetts Michigan Minnesota	49,808,000 163,074,000 462,019,000 884,454,000 182,738,000	5,052,000 5,200,000 11,430,000 6,000,000	3,100,000 16,424,000 26,540,000 58,160,000 17,312,000	52,908,000 184,550,000 493,759,000 954,044,000 206,050,000	36,286,000 92,275,000 254,364,000 477,022,000 112,637,000	o
Mississippi Missouri Montana Nebraska Nevada	30,400,000 152,310,000 17,296,000 30,419,000 10,152,000	40,000 244,000	4,826,000 21,052,000 1,622,000 2,576,000 2,808,000	35,226,000 173,362,000 18,958,000 33,239,000 12,960,000	27,583,000 102,917,000 11,399,000 17,672,000 6,480,000	
New Hampshire New Jersey New Mexico New York North Carolina	26,652,000 475,418,000 36,086,000 1,785,766,000 141,485,000	1,720,000 26,698,000	1,860,000 40,200,000 7,066,000 244,640,000 11,540,000	28,512,000 517,338,000 43,152,000 2,057,104,000 153,025,000	17,681,000 258,669,000 29,457,000 1,028,552,000 101,711,000	

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North Dakota Ohio Oklahoma Oregon Pennsylvania	510,307,000 77,080,000 139,380,000	17,736,000 324,000 948,000	1,336,000 44,922,000 10,504,000 14,970,000 86,622,000	20,788,000 572,965,000 87,908,000 155,298,000 804,383,000	10,532,000 314,345,000 55,840,000 87,810,000 438,869,000
Puerto Rico	64,711,000 . 49,500,000 . 22,621,000	10,000	10,450,000 3,560,000 11,018,000 3,810,000 14,840,000	40,750,000 68,271,000 60,518,000 26,441,000 91,040,000	² 24,156,000 38,665,000 43,515,000 16,342,000 64,666,000
TexasUtahVermontVirgin IslandsVirginia		612,000 14,000 420,000	28,400,000 4,300,000 1,788,000 424,000 15,250,000	205,235,000 45,851,000 39,311,000 3,246,000 168,023,000	126,649,000 30,812,000 26,307,000 21,785,000 87,424,000
Washington	164,150,000 55,680,000 336,198,000 6,624,000	988,000 800,000 124,000	16,676,000 6,000,000 14,510,000 780,000	181,814,000 62,480,000 350,708,000 7,528,000	90,907,000 39,592,000 188,533,000 3,764,000
Total	11,006,240,000	81,432,000	1,240,726,000	12,328,398,000	² 6,581,403,000

Based on August 1976 State estimates.
 Adult category amounts are included for Guam, Puerto Rico, and the Virgin Islands.

TABLE 19.—AFDC FAMILY WITH 4 RECIPIENTS: MAXIMUM POTENTIAL MONTHLY BENEFITS, CASH PLUS BONUS VALUE OF FOOD STAMPS, JULY 1976

		AFDC payment standard (amount against	Largest amoun basic ne		Potential	Maximum potential
	AFDC need standard	which — counted income is applied)	Cash amount	Percent of need standard	food stamp bonus if only income is AFDC	benefits, AFDC plus bonus food stamps
Alabama Alaska Arizona Arkansas California	\$225	\$135	\$135	60	\$132	\$267
	400	400	400	100	113	513
	282	198	198	70	113	311
	290	255	140	48	129	269
	422	379	379	90	62	441
Colorado ¹	276	276	276	100	89	365
	² 405	405	405	100	53	458
	287	287	287	100	89	376
	349	314	314	90	77	391
	230	170	170	74	119	289
Georgia	227	148	148	65	129	277
	NA	NA	NA	NA	NA	NA
	514	514	514	100	73	587
	395	344	344	87	71	415
	2 317	317	317	100	77	394

Indianalowa Iowa Kansas Kentucky Louisiana	. 363 376 ² 364 235 ² 203	327 356 364 235 158	250 356 364 235 158	95 100 100 78	95 71 62 101 125	345 427 426 336 283
MaineMaryland 4:	349	278	278	80	89	367
	314	242	242	77	101	343
	385	385	385	100	62	447
	453	453	453	100	35	488
	385	385	385	100	62	447
Mississippi	277	277	60	22	153	213
Missouri	365	365	170	47	119	289
Montana	252	252	252	100	95	347
Nebraska	330	330	294	89	83	377
Nevada	341	249	249	73	101	350
New Hampshire	346	346	346	100	71	417
	356	356	356	100	71	427
	239	239	206	86	113	319
	² 476	476	476	100	35	511
	200	200	200	100	113	313

See footnotes at end of table.

TABLE 19.—AFDC FAMILY WITH 4 RECIPIENTS: MAXIMUM POTENTIAL MONTHLY BENEFITS, CASH PLUS BONUS VALUE OF FOOD STAMPS, JULY 1976—Continued

		AFDC payment standard (amount against which —	Largest amoun basic ne		Potential food stamp	Maximum potential benefits.
	AFDC need standard	counted income is applied)	Cash amount	Percent of need standard	bonus if only income is AFDC	AFDC plus bonus food stamps
North DakotaOhioOklahomaOregonPennsylvania	\$370	\$370	\$370	100	\$62	\$432
	431	254	254	59	95	349
	284	284	284	100	89	373
	475	433	433	91	44	477
	2 373	373	373	100	62	435
Puerto Rico	132	132	53	40	162	215
	359	359	359	100	71	430
	217	217	117	54	138	255
	333	333	333	100	71	404
	217	217	132	61	132	264

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Texas	187	140	140	75	129	269
Utah	433	333	333	77	71	404
Vermont	² 519	379	379	73	62	441
Virgin Islands	166	166	166	100	167	333
Virginia	² 346	311	311	90	77	388
Washington	² 385	385	385	100	62	447
	332	249	249	75	101	350
	466	² 424	424	91	44	468
	270	270	270	100	89	359
Median State (among 50 States and the District of Columbia).	346	317	 294		83	377

¹ Allowance for summer months; winter allowance was 291.

² Represents highest of several flat allowance or shelter cost areas in State. Such regional cost standards are as follows: Connecticut, \$405, \$349, \$340; Illinois, \$317, \$300, \$267; Kansas, \$364, \$315, \$306, \$295; Louisiana, \$203, \$187; Michigan, \$453 (Washtenaw County—Ann Arbor); \$428, \$418, \$408; (Wayne County—Detroit), \$398, \$378; Pennsylvania, \$373, \$360, \$349, \$330; Vermont, \$519, \$499; Virginia, \$346, \$293, \$272; Washington, \$385, \$362; Wiscon-

sin, \$424, \$411, \$394, \$390. Maximum standard for New York City was \$476.

³ Average AFDC payment per family (4 persons) in Guam was \$199 in July 1976. If this was the family's sole income, it was eligible also for \$173 in bonus food stamps.

^{4 3} of Maryland's 24 counties supplement the State grant amount.
5 Includes quarterly grant prorated monthly.

Category	1971	1972	1973	1974	1975	1976	1977
Registrations:					•		
In year		120,539	1,235,048	820,126	839,408	942,260	1 661,912
Cumulative Entered employment:	127,900	236,415	1,324 876	1,811,446	2,025,663	2,277,28 9	1 2,015,400
Full time Part time	50,444	60,310	136,783	177,271	170,641	211,185 19,680	1 132,712 1 18.071
Welfare cost savings (millions).	(²)	(²)	(²)	³ \$ 129.3	3 \$212.4	\$297.0	1 \$204.2
Program expenditures (mil- lions):						•	
Total		••••••	• • • • • • • • • • • • • • • • • • • •		\$276.7	\$303.7	4 \$166. 8
Employment service Welfare agency					205.9 70.8	196.2 107.6	4 116.1 4 50.7

TABLE 20.—WORK INCENTIVE PROGRAM DATA: FISCAL YEARS 1971-77

^{1 1}st 7 mos.
2 Not available.
3 Calendar year data.
4 1st 6 mos.

TABLE 21.—AFDC CHILD SUPPORT ENFORCEMENT, FISCAL YEAR 1976

(Thousands)

	Administrative costs			
	Total	State	Federal	Collections
Total	\$142,007.9	\$37,634.1	\$104,373.8	\$217,606.1
Alabama Alaska Arizona Arkansas California	815.9 68.7 240.2 158.2 42,825.7	203.9 17.1 58.2 39.5 11,362.0	612.0 51.6 182.0 118.7 31,463.7	12.8 0 11.6 30.9 26,132.2
Colorado Connecticut Delaware District of Columbia Florida	1,292.8 479.7 406.8 445.5 1,680.3	323.3 119.9 72.6 73.9 420.0	969.5 359.8 334.2 371.6 1,260.3	1,787.4 6,529.5 676.5 454.7 602.1
Georgia Hawaii Idaho Illinois Indiana See footnotes at end of table.	674.8 395.6 400.6 2,762.7 48.5	168.7 87.6 100.0 1,322.0 12.1	506.1 308.0 300.6 1,440.7 36.4	2,508.8 28.6 995.5 4,365.5

TABLE 21.—AFDC CHILD SUPPORT ENFORCEMENT, FISCAL YEAR 1976—Continued (Thousands)

	Administrative costs			
	Total	State	Federal	Collections
lowa	\$900.3	\$225.2	\$675.1	\$5,615.8
Kansas	294.5	73.7	220.8	2,045.2
Kentucky	339.4	84.9	254.5	148.1
Louisiana	3,063.3	765.8	2,297.5	908.0
Maine	413.7	103.3	310.4	961.4
Maryland Massachusetts Michigan Minnesota Mississippi	998.4	249.7	748.7	5,949.7
	2,879.1	719.6	2,159.5	16,329.1
	7,150.0	1,787.5	5,362.5	53,682.2
	4,594.1	1,145.8	3,448.3	6,264.9
	255.3	127.6	127.7	(¹)
Missouri Montana Nebraska Nevada New Hampshire	309.9	155.0	154.9	(¹)
	347.3	143.2	204.1	177.2
	276.0	64.9	211.1	85.9
	4.6	2.1	2.5	(¹)
	96.0	24.0	72.0	645.0
New Jersey. New Mexico. New York. North Carolina. North Dakota.	8,529.9	1,828.7	6,701.2	13,890.9
	370.6	92.7	277.9	522.9
	33,343.0	9,455.2	23,887.8	7,795.0
	1,103.5	271.7	831.8	105.8
	82.0	20.6	61.4	397.7

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Ohio	3,287.8	824.0	2,463.8	16,285.9
Oklahoma	838.7	172.0	666.7	545.6
Oregon	3,582.5	895.5	2,687.0	947.3
Pennsylvania	2,137.0	534.2	1,602.8	12,663.8
Rhode Island	618.7	158.7	460.0	2,214.2
South Carolina South Dakota Tennessee Texas Utah	132.6 557.1 106.8 4,192.2 976.3	33.1 139.5 26.7 1,048.1 197.2	99.5 417.6 80.1 3,144.1 779.1	396.1 340.7 3,803.2 1,603.1
Vermont	304.8	76.2	228.6	665.0
Virginia	1,091.3	272.8	818.5	3,694.1
Washington	3,335.2	833.9	2,501.2	11,233.9
West Virginia	387.3	97.0	290.3	0
Wisconsin	2,004.5	501.1	1,503.4	3,366.8
Wyoming	61.7	15.4	46.3	150.6
Guam	16.9	4.2	12.7	1.3
Puerto Rico	177.6	44.4	133.2	· (²)
Virgin Islands	152.0	38.1	113.9	33.6

<sup>State under waiver until June 30, 1976.
Information incomplete/not received.</sup>

D. COST OF PROVISIONS OF H.R. 7200

The provision removing the ceiling on AFDC matching for the territories has been estimated to have a cost of \$1.5 million in fiscal year 1978 and \$2.9 million in fiscal 1979. The provision waiving collection of prior Federal AFDC matching for vendor payments and similar non-allowable costs in certain States has been estimated to cost at least \$34 million (a one-time cost). The change in procedures relating to vendor payments has been estimated to have an ongoing annual administrative cost of about \$5 million.

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