

EXECUTIVE COMMITTEE MEETING FOR CONFIRMATION OF
JAMES G. HUSE, JR., TO BE INSPECTOR GENERAL, SOCIAL
SECURITY ADMINISTRATION; AND NEAL S. WOLEN, TO BE
GENERAL COUNSEL, DEPARTMENT OF THE TREASURY
WEDNESDAY, OCTOBER 13, 1999,
U.S. Senate,
Committee on Finance,
Washington, DC.

The meeting was convened, pursuant to notice, at
3:53 p.m. in room 211, the Capitol, Hon. William V.
Roth, Jr., (chairman of the committee) presiding.

Also present: Senators Chafee, Grassley, Hatch,
Jeffords, Mack, Moynihan, Baucus, Rockefeller,
Breaux, and Robb.

Also present: Franklin G. Polk, Staff Director
and Chief Counsel; David Podoff, Minority Staff
Director and Chief Economist.

The Chairman. The meeting will please come to order.

Since we now have a quorum of 11 Members present, I would like the Committee to go into Executive Session to take care of some business.

We recently held a confirmation hearing for James G. Huse, Jr., to be Inspector General, Social Security Administration, and Neal S. Wolen, to be General Counsel, Department of the Treasury. Therefore, I move that we favorably report these nominations. And is there any opposition to this?

(No response)

The Chairman. All those in favor say aye.

(Chorus of ayes)

The Chairman. Opposed?

(No response)

The Chairman. The ayes have it. Thank you, gentlemen.

(Whereupon, at 3:54 p.m., the meeting was concluded.)

The White House,

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AUG - 2 1999

To the
Senate of the United States.

I nominate

Neal S. Wolin, of Illinois, to be

General Counsel for the Department of the Treasury, vice

Edward S. Knight, resigned.

William J. Clinton

DRAFT

DRAFT

THE WHITE HOUSE

Office of the Press Secretary

For Immediate Release

August 2, 1999

**PRESIDENT CLINTON NAMES NEAL S. WOLIN AS GENERAL COUNSEL AT
THE DEPARTMENT OF TREASURY**

The President today announced his intent to nominate Neal S. Wolin to serve as General Counsel at the Department of the Treasury.

Mr. Neal S. Wolin, of Chicago, Illinois, has served since April 1995 as Deputy General Counsel of the Department of the Treasury. In that role, he supervises the day-to-day activities of the Department's Legal Division including counsel at Main Treasury and its 12 operating bureaus, and provides legal advice and counsel to the Secretary, Deputy Secretary and other senior officials of the Department. In 1999, the President appointed Mr. Wolin to be a Member of the President's Advisory Committee on Holocaust Assets in the United States. Prior to joining the Treasury Department, Mr. Wolin served in the White House as the Executive Assistant to National Security Advisor Anthony Lake and the Deputy National Security Advisor Samuel R. Berger.

Mr. Wolin received a B.A. degree in history summa cum laude from Yale College where he was elected to Phi Beta Kappa. After college, he studied at Balliol College at the University of Oxford as a Charles and Julia Henry Fellow, earning a Master of Science degree in Development Economics. He received a J.D. degree from Yale Law School, where he was a Coker Teaching Fellow in Constitutional Law.

The General Counsel is the senior legal and policy advisor to the Secretary and other senior departmental officials. The responsibilities of the General Counsel include consideration of legal problems related to broad policy aspects of management of the public debt, administration of the Internal Revenue Service and tariff laws, international cooperation in the monetary and financial fields, law enforcement affairs and all policy issues before the Department.

-30-30-30-

**SENATE FINANCE COMMITTEE
STATEMENT OF INFORMATION REQUESTED OF NOMINEE**

The Committee requests the nominee provide the following information in a single written statement by typing each question in full followed by the nominee's response. Please provide three copies of your typed statement to Jane Butterfield, Chief Clerk, 219 Dirksen Senate Office Building, Washington, D.C. 20510.

A. BIOGRAPHICAL INFORMATION

- 1. Name: (Include any former names used.)**

Neal Steven Wolin

- 2. Position to which nominated:**

General Counsel, U.S. Department of the Treasury

- 3. Date of nomination:**

August 2, 1999

- 4. Address: (List current residence, office, and mailing addresses.)**

Home: 4601 Connecticut Avenue, N.W., Apt 318
Washington, D.C. 20008

Office: U.S. Department of the Treasury
1500 Pennsylvania Avenue, N.W.
Washington, D.C. 20220

- 5. Date and place of birth:**

December 9, 1961; Chicago, Illinois

- 6. Marital status: (Include maiden name of wife or husband's name.)**

Single.

- 7. Names and ages of children:**

Not Applicable.

- 8. Education: (List secondary and higher education institutions, dates attended, degree received, and date degree granted.)**

Yale Law School, New Haven, CT; 1985-1988; J.D., 1988
Balliol College, University of Oxford; Oxford, England; 1983-1985; M.Sc. in
Development Economics, 1985
Yale College; New Haven, CT; 1979-1983; B.A. , summa cum laude, in History
1983
Leo Baeck School; Haifa, Israel; September-December 1977
Evanston Township High School, Evanston, IL; 1975-1979; Diploma, 1979

9. **Employment record: (List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.)**

Acting General Counsel, U.S. Department of the Treasury; Washington, D.C.;
1999 - Present
Deputy General Counsel, U.S. Department of the Treasury; Washington, D.C.;
1995 - 1999
Executive Assistant to National Security Advisor Anthony K. Lake and Deputy
National Security Advisor Samuel R. Berger, The White House,
Washington, D.C.; 1994 - 1995
Deputy Legal Adviser, National Security Council, The White House, Washington,
D.C.; 1993 - 1994
Special Assistant to Directors of Central Intelligence William H. Webster, Robert M.
Gates and R. James Woolsey; Washington, D.C.; 1991 - 1993
Associate, Wilmer, Cutler & Pickering, Washington, DC; 1989 - 1990
Law Clerk, U.S. District Judge Eugene H. Nickerson, Eastern District of New York,
Brooklyn, NY; 1989 - 90
Adjunct Assistant Professor of Law, Brooklyn Law School, Brooklyn, NY;
International Commercial Arbitration; 1989
Summer Associate; Paul, Weiss, Rifkind, Wharton & Garrison; New York, NY;
Summer 1988
Coker Teaching Fellow; Prof. Burke Marshall; Constitutional Law I; Yale Law
School; New Haven, CT; 1987-88
Summer Associate; Williams & Connolly; Washington, DC; Summer 1987
Summer Associate; Wilmer, Cutler & Pickering; Washington, DC; Summer 1987
Research Assistant; Prof. W. Michael Reisman, Yale Law School; New Haven, CT;
1987
Research Assistant; Prof. Geoffrey C. Hazard, Jr.; Yale Law School; New Haven,
CT; 1986-87
Summer Associate; Sidley & Austin; Chicago, IL and Washington, DC; Summer
1986

10. **Government experience: (List any advisory, consultative, honorary, or other part-time service or positions with Federal, State or local governments, other than those listed above.)**

None, other than positions listed above.

11. **Business relationships: (List all positions held [for the past 10 years] as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, other business enterprise, or educational or other institution.)**

Trustee, Karen A. Wolin Insurance Trust (trust contains term life insurance policy of
my sister; beneficiaries are my twin nephews); July 1998 - present
Partner, 1748 - 66 E. 71st Place Partnership; Chicago, IL; 3 1/3% interest;
December 31, 1985 - present
Partner, Cornelia Associates Partnership (622-630 ½ Cornelia Bldg., Chicago, IL);
0.8888% interest; December 31, 1985 - Present

- 12. Memberships: (List all memberships and offices held in professional, fraternal, scholarly, civic, business, charitable, and other organizations.)**

Council on Foreign Relations (term member 1993-1998, member 1998 - present)
U.S. Supreme Court bar (1996 - present)
American Bar Association (1989 - present)
District of Columbia bar (1989 - present)
Illinois bar (1989 - present)

- 13. Political affiliations and activities:**

- a. List all public offices for which you have been a candidate.**

None.

- b. List all memberships and offices held in and services rendered to all political parties or election committees during the last 10 years.**

None.

- c. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$50 or more for the past 10 years.**

Dan Williams for Congress, \$100.00, 1998

- 14. Honors and Awards: (List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognitions for outstanding service or achievement.)**

Phi Beta Kappa, 1982 - present
Charles and Julia Henry Fellowship; Oxford, England; 1983 - 84

- 15. Published writings: (List the titles, publishers, and dates of all books, articles, reports, or other published materials you have written.)**

None.

- 16. Speeches: (List all formal speeches you have delivered during the past five years which are on topics relevant to the position for which you have been nominated. Provide the Committee with two copies of each formal speech.)**

None.

- 17. Qualifications: (State what, in your opinion, qualifies you to serve in the position to which you have been nominated.)**

I have served for the past four years as Deputy General Counsel of the Department of the Treasury. In that position, I have been involved in virtually all of the activities of the Department's Legal Division and have provided advice and counsel to all of its senior officials.

B. FUTURE EMPLOYMENT RELATIONSHIPS

1. **Will you sever all connections with your present employers, business firms, associations, or organizations if you are confirmed by the Senate? If not, provide details.**

Not Applicable.

2. **Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, provide details.**

No.

3. **Has any person or entity made a commitment or agreement to employ your services in any capacity after you leave government service? If so, provide details.**

No.

4. **If you are confirmed by the Senate, do you expect to serve out your full term or until the next Presidential election, whichever is applicable? If not, explain.**

Yes.

C. POTENTIAL CONFLICTS OF INTEREST

1. **Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.**

None.

2. **Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.**

None.

3. **Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. Activities performed as an employee of the Federal government need not be listed.**

None.

4. **Explain how you will resolve any potential conflict of interest, including any**

that may be disclosed by your responses to the above items. (Provide the Committee with two copies of any trust or other agreements.)

I do not foresee any potential conflict of interest. Should any potential conflict issue arise, I will consult promptly with Treasury ethics officials.

5. **Two copies of written opinions should be provided directly to the Committee by the designated agency ethics officer of the agency to which you have been nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position.**
6. **The following information is to be provided only by nominees to the positions of United States Trade Representative and Deputy United States Trade Representative:**

Have you ever represented, advised, or otherwise aided a foreign government or a foreign political organization with respect to any international trade matter? If so, provide the name of the foreign entity, a description of the work performed (including any work you supervised), the time frame of the work (e.g., March to December 1995), and the number of hours spent on the representation.

D. LEGAL AND OTHER MATTERS

1. **Have you ever been the subject of a complaint or been investigated, disciplined, or otherwise cited for a breach of ethics for unprofessional conduct before any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, provide details.**

No.

2. **Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority for a violation of any Federal, State, county or municipal law, regulation, or ordinance, other than a minor traffic offense? If so, provide details.**

While performing my duties as a Freshman Resident Counselor in college, I was mistakenly arrested during an attempt to ensure that a group of freshmen under my charge did not get into any mischief while performing a prank. With the full support of my college dean, the prosecutor decided to drop all charges against me and, accordingly, all charges were dismissed.

3. **Have you ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.**

No.

4. **Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, provide details.**

No.

5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in connection with your nomination.

None.

E. TESTIFYING BEFORE CONGRESS

1. If you are confirmed by the Senate, are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be, reasonably requested to do so?

Yes.

2. If you are confirmed by the Senate, are you willing to provide such information as is requested by such committees?

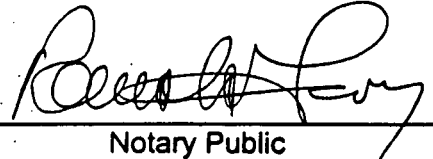
Yes.

AFFIDAVIT

Neal S. Wolin, being duly sworn, hereby states that he/she has read and signed the foregoing Statement of Information Requested of Nominee and that the information provided therein is, to the best of his/her knowledge, true, accurate, and complete.



Subscribed and sworn before me this 2nd day of August, 19 99.



Notary Public

RONALD LENNY

Rev. 1/97

Notary Public, District of Columbia

My Commission Expires November 14, 2000

The White House,

F-1.
P.H.

JUL 28 1999

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To the
Senate of the United States.

I nominate

James G. Huse, Jr., of Maryland, to

be Inspector General, Social Security Administration, vice

David C. Williams, resigned.

WILLIAM J. CLINTON

DRAFT

DRAFT

THE WHITE HOUSE

Office of the Press Secretary

For Immediate Release

July 28, 1999

**PRESIDENT CLINTON NAMES JAMES G. HUSE, JR. AS INSPECTOR GENERAL OF
THE SOCIAL SECURITY ADMINISTRATION**

The President today announced his intent to nominate James G. Huse, Jr. to serve as Inspector General at the Social Security Administration (SSA).

Mr. James G. Huse, Jr., of Annapolis, Maryland, has had a distinguished career in law enforcement and criminal investigations. Mr. Huse spent twenty-five years with the U.S. Secret Service and held several important management positions, including Special Agent in Charge in Michigan from 1986-1994 and Assistant Director, Office of Inspection, in Washington, DC, from 1994-1996. In 1996, Mr. Huse joined the Social Security Administration as an Assistant Inspector General for Investigations. He is currently the Deputy Inspector General, and is also the Acting Inspector General.

Mr. Huse is a graduate of Boston College. He served in the U.S. Army and is a decorated Vietnam combat veteran.

The Social Security Administration (SSA) became an independent agency in March 1995. The Social Security Administration administers the Old Age, Survivors and Disability Insurance programs under Title II of the Social Security Act as well as the Supplemental Security Income Program under Title XVI.

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**SENATE FINANCE COMMITTEE
STATEMENT OF INFORMATION REQUESTED OF NOMINEE**

The Committee requests the nominee provide the following information in a single written statement by typing each question in full followed by the nominee's response. Please provide **three** copies of your typed statement to Jane Butterfield, Chief Clerk, 219 Dirksen Senate Office Building, Washington, D.C. 20510.

A. BIOGRAPHICAL INFORMATION

1. Name: (Include any former names used.) James Gerard Huse, Jr.
2. Position to which nominated: Inspector General of Social Security
3. Date of nomination: July 28, 1999
4. Address: (List current residence, office, and mailing addresses.)

(Home) 2759 Gingerview Lane
 Annapolis, Maryland 21401

Phone: 410.571.1567

(Work) Office of the Inspector General
 Social Security Administration
 Suite 300, Altmeyer Building
 6401 Security Boulevard
 Baltimore, Maryland 21235

Phone: 410.966.8385

5. Date and place of birth:

I was born in Medford, Massachusetts, on February 27, 1944.

6. Marital status: (Include maiden name of wife or husband's name.)

I am not married. I am divorced (since July 18, 1989).

7. Names and ages of children:

I have two sons: James G. Huse, a United States Secret Service Agent, age 28, of New

York City, New York, and Thomas F. Huse, an attorney, age 27 of Annapolis, Maryland.

8. Education: (List secondary and higher education institutions, dates attended, degree received, and date degree granted.)

I attended Matignon High School in Cambridge, Massachusetts and graduated in 1961.

I attended Boston College, Chestnut Hill, Massachusetts, and graduated with a degree in English in 1965.

I attended the U. S. Army Infantry Officer's Basic Course from July through September 1965.

I attended the U. S. Army Intelligence School's Counterintelligence Officer's Basic Course from September 1967 through February 1968.

I attended the Treasury Law Enforcement Officer's training Course from January through March 1971.

I attended the U. S. Secret Service Special Agent's Training Course from May through July 1971.

I attended Northern Virginia Community College from September 1980 through May 1981 for courses in Basic Accounting and Economics.

I attended the Center for Creative Leadership in Greensboro, North Carolina in September 1985 for Executive Development Training.

I attended the Office of Personnel Management, Western Executive Training Center in October 1990 for Executive Development Training and in January 1991 for Federal Budget Process Training.

I attended the Federal Executive Institute, Charlottesville, Virginia in January 1992 for Executive Development.

9. Employment record: (List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.)

Commissioned Officer, United States Army

I served on duty posts in the Continental United States and in combat in the former Republic of South Vietnam, during the period June 1965 through November 1970.

Special Agent, United States Secret Service

I was a Secret Service Agent from November 1970 through January 1996. I served at duty posts in Rhode Island, New York, Michigan and Washington, D.C.

During this 25 year period I held the titles of Special Agent, Inspector, Special-Agent-in-Charge, and Assistant Director.

Office of the Inspector General, Social Security Administration

I have served successively as the Assistant Inspector General for Investigations, Deputy Inspector General, and Acting Inspector General at Social Security Administration Headquarters in Baltimore, Maryland, from February 1996 to the present time.

10. **Government experience: (List any advisory, consultative, honorary, or other part-time service or positions with Federal, State or local governments, other than those listed above.)**

My employment history since college is solely as a United States Government Employee.

11. **Business relationships: (List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, other business enterprise, or educational or other institution.)**

I have never been an officer of a corporation, partnership, or trust. I have never been a partner, agent, representative, or consultant of any corporation, company firm, partnership or other business enterprise, or educational or other institution.

12. **Memberships: (List all memberships and offices held in professional, fraternal, scholarly, civic, business, charitable, and other organizations.)**

I am a member of the International Association of Chiefs of Police.

13. **Political affiliations and activities:**

- a. **List all public offices for which you have been a candidate.**

I have never been a candidate for public office.

- b. **List all memberships and offices held in and services rendered to all political parties or election committees during the last 10 years.**

I was listed as a paid member of the Democrat Party in 1992 as the result of several small campaign contributions to National Democrat Party Congressional Campaign Fund Drives and similarly small (under \$100) contributions to the

Clinton/Gore Campaign in 1992. I have never held any office in any political party nor performed any service other than making the aforementioned campaign contributions.

- c. **Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$50 or more for the past 10 years.**

As I responded to the preceding question, I did make several small contributions to the Clinton/Gore Campaign and the National Democratic Party in 1992, as I also stated above in toto these were not more than several hundred dollars paid in small increments as the result of responding to mail appeals from these entities. In other words, to the best of my recollection, these contributions were usually between \$25 to \$50 levels, but not more than \$400 in all.

13. **Honors and Awards: (List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition's for outstanding service or achievement.)**

MILITARY SERVICE AWARDS:

Bronze Star Medal, two awards, Vietnam, 7/67 and Vietnam, 12/69

Air Medal for Valor, Vietnam, 2/67

Army Commendation Medal, two awards, Vietnam, 7/67, and Washington, DC, 12/68

Joint Service Commendation Medal, Vietnam, 12/69

Combat Infantryman's Badge, Vietnam, 7/66

CIVILIAN FEDERAL SERVICE AWARDS

Secretary of the Treasury Special Award for the White House Security Review, 1995

Treasury Department Senior Executive Service Bonus Awards, 1991 through 1995

Treasury Department Performance Achievement Awards, 1987 – 1990

14. **Published writings: (List the titles, publishers, and dates of all books, articles, reports, or other published materials you have written.)**

I wrote an article for the Spring 1992 edition of the New England Journal of History entitled: "Sarajevo, 1914: A Security Perspective".

15. **Speeches: (List all formal speeches you have delivered during the past five years which are on topics relevant to the position for which you have been nominated. Provide the Committee with two copies of each formal speech.)**

Since June 22, 1998, I have served as the Acting Inspector General of Social Security.

During that time I have testified before both Houses of Congress on issues bearing on the Office of the Inspector General. In July 1998 I testified before the House Ways and Means, Subcommittee on Social Security on the subject of the "Use of Official Time by Government Union Officials at Social Security Administration." In September 1998, I testified before the Senate Committee on Government Affairs on the subject of "Information Systems Security at Social Security Administration." In May 1999, I testified before the House Appropriations, Subcommittee on Health, Education, and Labor concerning my Office of the Inspector General FY 2000 Budget Request. In each of these instances I delivered a formal written statements which are attached.

17. Qualifications: (State what, in your opinion, qualifies you to serve in the position to which you have been nominated.)

I am an experienced and committed U. S. Government Senior Executive with a rich and rewarding career in Federal law enforcement and Office of the Inspector General, operations and activities. I have successfully served as the Acting Inspector General of Social Security for the past year. I am a former Assistant Director of the U. S. Secret Service. Throughout my government service career I have received consistent laudatory recognition for my leadership and executive performance. I am skilled in managing the planning, policy and program development functions of a national agency with a complex multi-faceted audit, criminal investigative, and evaluative mission. I can successfully interact with senior government officials from the Congress as well as the Executive Branch to fulfill my responsibilities and the mission of the Office of the Inspector General. My 34 years of government experience have well prepared me for this challenge.

B. FUTURE EMPLOYMENT RELATIONSHIPS

- 1. Will you sever all connections with your present employers, business firms, associations, or organizations if you are confirmed by the Senate? If not, provide details.**

This question does not pertain to my situation. If I am confirmed I will continue performing the same duties and responsibilities I fulfill at the present time.

- 2. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, provide details.**
I have no plans to pursue outside employment during my term of government service.

- 3. Has any person or entity made a commitment or agreement to employ your services in any capacity after you leave government service? If so, provide details.**

No one has made any such commitment to me.

4. If you are confirmed by the Senate, do you expect to serve out your full term or until the next Presidential election, whichever is applicable? If not, explain.

At present, there are no term limits on Inspectors General, although there is pending legislation that may limit Inspectors General to nine year terms. In any case, I hope to serve beyond the next Presidential election.

C. POTENTIAL CONFLICTS OF INTEREST

1. Indicate any investments, obligations, liabilities, or other relationships, which could involve potential conflicts of interest in the position to which you have been nominated.

I do not have any investments, obligations, liabilities or other relationships, which could involve a possible conflict of interest for me as the Inspector General of Social Security.

2. Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

I have had no business relationship nor dealing, nor financial transaction during the past ten years, whether for myself or on behalf of anyone, or even acting as an agent, that could anyway constitute or result in a possible conflict of interest to the position of Inspector General of Social Security.

2. Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. Activities performed as an employee of the Federal government need not be listed.

The only activity I have had, in the past 10 years, or before, where I have been engaged in directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law and public policy are several small (\$25) contributions I made to the organization established by Sarah Brady (wife of the wounded Presidential aide James Brady) and her Handgun Control campaign that was a part of activity surrounding the passage of the so called Brady Bill several years ago.

3. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items. (Provide the Committee with **two** copies of any trust or other agreements.)

This question is not applicable based on my responses to the above items.

5. **Two** copies of written opinions should be provided directly to the Committee by the designated agency ethics officer of the agency to which you have been nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position.

It is my understanding that these statements have been provided to the Committee by the designated ethics officer for the Social Security Administration and the Office of Government Ethics.

6. The following information is to be provided only by nominees to the positions of United States Trade Representative and Deputy United States Trade Representative:

Have you ever represented, advised, or otherwise aided a foreign government or a foreign political organization with respect to any international trade matter? If so, provide the name of the foreign entity, a description of the work performed (including any work you supervised), the time frame of the work (e.g., March to December 1995), and the number of hours spent on the representation.

D. LEGAL AND OTHER MATTERS

1. Have you ever been the subject of a complaint or been investigated, disciplined, or otherwise cited for a breach of ethics for unprofessional conduct before any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, provide details.

I have never been the subject of a complaint or been investigated, disciplined or otherwise cited for a breach of ethics for unprofessional conduct before any court, administrative agency, professional association, disciplinary committee, or other professional group.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority for a violation of any Federal, State, county or municipal law, regulation, or ordinance, other than a minor traffic offense? If so, provide details.

I have never been investigated, arrested, charged or held by any Federal, State, or local law enforcement authority for a violation of any Federal, State, county, or municipal law, regulation, or ordinance.

3. Have you ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.

I have been the named agency official in a Social Security Administration, Equal Employment Opportunity Act administrative complaint and proceeding. An individual

filed a complaint that he was discriminated against in an employment decision. As the reviewing official for this decision I was named in this complaint. In this matter the complainant's issues were heard by an Administrative Law Judge and not sustained. The Agency's actions were upheld.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, provide details.

I have never been charged with, nor convicted of, any criminal violation.

5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in connection with your nomination.

I have no other information to offer the Committee in this regard.

E. TESTIFYING BEFORE CONGRESS

1. If you are confirmed by the Senate, are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

I am willing to appear when called on to do so.

3. If you are confirmed by the Senate, are you willing to provide such information as is requested by such committees?

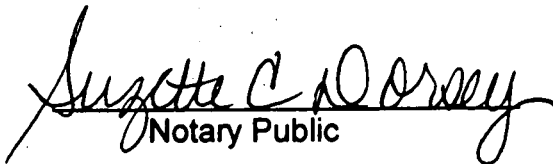
I am willing to provide such information.

AFFIDAVIT

James G. House, Jr., being duly sworn, hereby states that he/she has read and signed the foregoing Statement of Information Requested of Nominee and that the information provided therein is, to the best of his/her knowledge, true, accurate, and complete.



Subscribed and sworn before me this 17 day of August, 1999.


Notary Public

Suzette C. Dorsey, Notary Public
City of Baltimore
State of Maryland
My Commission Expires Apr. 1, 2002

Rev. 1/97