

*United States Senate
Committee on Finance*

EXHIBIT LIST A-G

Hearing On

***A System in Need of Repair: Addressing Organizational Failures of the U.S.'s
Organ Procurement and Transplantation Network***

August 3, 2022

Documents Related to ABO Incompatibility Case 1 (Donor Network West)

- A. 1. UNOS_4_000330241-433 – CADN Case Investigation
- 2. UNOS_6_000096902-06 – Email re CADN Immediate Action
- 3. UNOS_6_000096910 – Hyperacute Rejection Case Description
- 4. UNOS_7_000029172-73 – Emails re HRSA Reportable ABO Event (16)
- 5. UNOS_E_000026571-73 – Emails re MPSC Request for Additional Information (3)

Documents Related to ABO Incompatibility Case 2 (We Are Sharing Hope)

- B. 6. UNOS_2_000014075-160 – MPSC Summary
- 7. UNOS_2_000014161-90 – Untitled Staff Summary
- 8. UNOS_2_000015132-33 – Informal Discussion Summary
- 9. UNOS_2_000015134-36 – MPSC Informal Discussion Request
- 10. UNOS_2_000015170-223 – MPSC CAP Update
- 11. UNOS_2_000015278 – MPSC Outcome
- 12. UNOS_2_000015300-314 – MPSC Presentation January 28, 2019
- 13. UNOS_2_000015338-80 – ABO Case Informal Discussion Presentation
- 14. UNOS_2_000015415-16 – SCOP Informal Discussion Summary and SCOP Accepting Informal Discussion
- 15. UNOS_2_000015578-631 – SCOP Updated Documentation
- 16. UNOS_2_000015707-08 – MPSC Resolution Letter
- 17. UNOS_3_000088960-61 – SCOP ABO Incompatible Tx Communication with SCMU
- 18. UNOS_3_000088965-66 – HRSA Notification
- 19. UNOS_3_000088967-69 – Patient Safety Net Portal Submission (1)
- 20. UNOS_3_000088974-77 – MQ Intake Non-Routine Intake and Triage Form

21. UNOS_3_000088978 – Email re Containment Plan
22. UNOS_3_000088985-87 – Emails between UNOS and HRSA
23. UNOS_3_000089072-80 – Emails re Root Cause Analysis (1)
24. UNOS_6_000067181-82 – Notification of Issue of Noncompliance
25. UNOS_6_000120451 – Emails with Patient Safety Team (2)
26. UNOS_E_000005604 – UNOS Request for Informal Discussion
27. UNOS_E_000020881 – NEOR SCOP Comparison with UNOS Employee Data
28. UNOS_E_000027142 – MPSC Slideshow

Documents Related to Cancer Transmission Case 1 (Life Connection)

- C.
29. UNOS_000046430-48 – Internal Emails at Life Connection
 30. UNOS_3_000046449 – Intake Form
 31. UNOS_3_000046451-52 – Email Notice to Patient Safety Team
 32. UNOS_3_000046456 – UNOS Closure Letter
 33. UNOS_3_000086246-49 – Notice of UNOS Inquiry

Documents Related to Cancer Transmission Case 2 (LifeQuest Organ Recovery Services)

- D.
34. UNOS_2_000010025-91 – MPSC Summary
 35. UNOS_2_000010558-64 – Not included in RCA
 36. UNOS_2_000010569 – Referral to Safety Analyst
 37. UNOS_2_000010576-609 – DTAC Late Report Response
 38. UNOS_2_000010610-11 – DTAC Late Report Notification
 39. UNOS_2_000010612-45 – FLUF Follow Up Information
 40. UNOS_2_000010650-51 – DTAC Late Report Inquiry
 41. UNOS_4_000284063-64 – Staff Summary
 42. UNOS_6_000009263-65 – Emails re DTAC Adjudication (1)

Documents Related to Kidney Death Case (Nevada Donor Network)

- E.
43. UNOS_1_000042009-10 – Patient Safety Net Submission
 44. UNOS_1_000042015-16 – UNOS Confirmation of OPTN Submission
 45. UNOS_6_000015441-443 – Emails re 2 Kidney Recipients with Tularemia (1)
 46. UNOS_6_000015447-449 – Emails re 2 Kidney Recipients with Tularemia (2)
 47. UNOS_6_000015454-56 – Emails re 2 Kidney Recipients with Tularemia (3)

Documents Related to Courier Case 1 (Mississippi Organ Recovery Agency)

- F. 48. UNOS_3_000076146-48 – Initial Patient Portal Report
- 49. UNOS_3_000076149-52 – Intake Form
- 50. UNOS_3_000076155-57 – UNOS Inquiry Letter
- 51. UNOS_3_000076166-68 – Closure Letter
- 52. UNOS_6_000007958 – Senate Inquiry Transportation Issues

Documents Related to Courier Case 2 (Donor Alliance)

- G. 53. UNOS_3_000039003-06 – Patient Safety Net Portal Submission (1)
- 54. UNOS_3_000039007-11 – Intake Form
- 55. UNOS_6_000022475-76 – UNOS Emails Re Final Letter (1)
- 56. UNOS_6_000106060-61 – UNOS Email Stating No Inquiry on this Case

*United States Senate
Committee on Finance*

EXHIBIT LIST H-O

Hearing On

***A System in Need of Repair: Addressing Organizational Failures of the U.S.'s
Organ Procurement and Transplantation Network***

August 3, 2022

Documents Related to Airline Case 1 (We Are Sharing Hope)

- H. 57.** UNOS_3_000086983-84 – Confirmation of OPTN Submission
- 58.** UNOS_3_000086985-88 – Intake Form
- 59.** UNOS_3_000086989-91 – Patient Net Submission
- 60.** UNOS_3_000086992-93 – UNOS Request for Information
- 61.** UNOS_5_000034100 – OPO Case Comments
- 62.** UNOS_6_000007958 – Senate Inquiry Transportation Issues
- 63.** UNOS_6_0000020631 – Internal Emails

Documents Related to Airline Case 2 (We Are Sharing Hope)

- I. 64.** UNOS_3_000088813-14 – UNOS Inquiry Letter
- 65.** UNOS_3_000088815-30 – SCOP Response to UNOS and Supporting Documents
- 66.** UNOS_3_000088831-32 – UNOS Response to SCOP
- 67.** UNOS_5_000034100 – OPO Case Comments
- 68.** UNOS_6_000007958 – Senate Inquiry Transportation Issues

Documents Related to Allocation Error Case (LifeGift Organ Donation Center)

- J. 69.** UNOS_2_000000019-37 – MPSC Meeting Minutes
- 70.** UNOS_4_000281432-55 – OPO Case Investigations
- 71.** UNOS_4_000281833-54 – MPSC Summary
- 72.** UNOS_6_000009977-78 – Messages re TXGC (1)
- 73.** UNOS_6_000009979-1008 – Messages re TXGC (2)
- 74.** UNOS_6_000010527 – Case Packet Email (1)
- 75.** UNOS_6_000010639-40 – Case Assignment Email
- 76.** UNOS_6_000010679-81 – Messages re TXGC (3)

- 77. UNOS_6_000010682-86 – Messages re TXGC (4)
- 78. UNOS_6_000010945 – Case Packet Email (2)
- 79. UNOS_6_000011142-53 – Messages re TXGC (5)
- 80. UNOS_6_000011204-06 – Messages re TXGC (6)
- 81. UNOS_6_000011277-78 – Additional Questions to TXGC Email
- 82. UNOS_000106322-23 – Individual Member Focus Improvement Email
- 83. UNOS_000118050 – Weekly Meeting Email
- 84. UNOS_7_000001938 – Messages re TXGC (7)
- 85. UNOS_E_000020545-46 – HL Examples

Documents Related to Donation after Circulatory Death Case 1 (Indiana Donor Network)

- K.** 86. UNOS_3_000001436-46 – CMS Site Survey
- 87. UNOS_3_000001447-88 – INOP Letter and Corrective Action Plan
- 88. UNOS_3_000002605-07 – Death Declaration SBAR
- 89. UNOS_3_000002608-87 – OPO Audit August 2016
- 90. UNOS_3_000003540-43 – INOP Expedited Review
- 91. UNOS_3_000003545-54 – INOP Peer Review
- 92. UNOS_3_000003558-60 – INOP BOD Outcome
- 93. UNOS_3_000003571 – MPSC Recommendation for Release
- 94. UNOS_3_000003655-60 – INOP MPSC Interview Summary
- 95. UNOS_3_000004004-227 – INOP Case Release Packet
- 96. UNOS_3_000006401-04 – DCD Clinical Pathway Form
- 97. UNOS_3_000007163 – UNOS Emails Reporting the Complaint
- 98. UNOS_3_000007164-67 – Intake Form
- 99. UNOS_3_000073704 – SBAR for the Case
- 100. UNOS_3_000074107 – Board Compliance Monitoring
- 101. UNOS_6_000016228 – DonorNet Email
- 102. UNOS_6_000125944-46 – Emails regarding AOPO Call (1)
- 103. UNOS_6_000125959-60 – Emails regarding AOPO Call (2)

Documents Related to Donor after Circulatory Death Case 2 (Life Alliance Organ Recovery Agency)

- L. 104. UNOS_1_000011846-50 – Informal Discussion Presentation
- 105. UNOS_1_000011853-56 – FLMP Informal Discussion Summary
- 106. UNOS_1_000011869-75 – February 26, 2020 Interview Summary
- 107. UNOS_1_000011885-89 – March 12, 2020 Letter of Warning
- 108. UNOS_1_000011967-73 – Peer Visit Request
- 109. UNOS_1_000012916-19 – Root Cause Analysis
- 110. UNOS_1_000023933-40 – Staff Summary with Reviewer Comments
- 111. UNOS_1_000026367-71 – February 28, 2019 FLMP Response to UNOS Inquiry
- 112. UNOS_1_000026414-16 – February 14, 2019 UNOS Donor Management Inquiry
- 113. UNOS_1_000026490-509 – March 20, 2019 Donor Letter and Exhibits from FLMP
- 114. UNOS_1_000026510-579 – MPSC Summary (2)
- 115. UNOS_2_000005165-89 – MPSC Meeting Minutes
- 116. UNOS_3_000059364-71 – FLMP Staff Summary Peer Visit
- 117. UNOS_6_000073311-17 – Correspondence (3)
- 118. UNOS_7_000000378-85 – April 2021 MPSC FLMP Concerns
- 119. UNOS_7_000028891-900 – Emails re MPSC Review of Peer Visit and OPO (1)
- 120. UNOS_7_000028921-27 – CMS Report

Documents Related to Kidney trash Case (Indiana Donor Network)

- M. 121. UNOS_2_000011591-611 – MPSC Summary
- 122. UNOS_3_000003581-83 – Notice of Noncompliance and Informal Discussion Off
- 123. UNOS_3_000003592-95 – MPSC Informal Discussion Summary January 21, 2021
- 124. UNOS_3_000007439-41 – Patient Safety Net Portal Submission
- 125. UNOS_3_000007442-43 – Incident Handling Triage Form
- 126. UNOS_3_000007444 – Confirmation of OPTN Submission
- 127. UNOS_3_000007445-47 – Notice of UNOS Inquiry
- 128. UNOS_3_000007448-59 – Emails INOP Response
- 129. UNOS_3_000007460-61 – Notification of Submission to MPSC
- 130. UNOS_4_000340932-33 – Ongoing Monitoring Staff Summary

Documents Related to Financial Allegations Case (Alabama Organ Center)

- N. 131. UNOS_3_000015523 – OPO Employee Email Report to UNOS
- 132. UNOS_3_000015524 – Follow Up to OPO Employee Email (1)
- 133. UNOS_3_000015529-40 – MPSC Summary
- 134. UNOS_3_000015541-43 – May 6, 2011 UNOS DEQ Notification Letter
- 135. UNOS_3_000015552-53 – August 30, 2011 Media Inquiry
- 136. UNOS_3_000015554-56 – September 13, 2011 Media Inquiry Response
- 137. UNOS_3_000015905-17 – April 8, 2011 On Site Survey Report
- 138. UNOS_3_000016634-52 – May 6, 2011 Amended Site Report and Closing Letter
- 139. UNOS_3_000016776-77 – OPO Complaint Summary
- 140. UNOS_3_000065380 – ALOB Timeframe

Other

- O. 141. No Bates Number Available – SFC Presentation re UNOS Review Process
- 142. UNOS_2_000003541-68 – DTAC Report
- 143. No Bates Number Available – USDS Report - Modernizing OPTN January 2021
- 144. No Bates Number Available – February 10, 2020 UNOS Response to SFC
- 145. No Bates Number Available – January 31, 2022 SFC IT Security Letter
- 146. No Bates Number Available – July 11, 2022 McGuireWoods Response to SFC Subpoena
- 147. No Bates Number Available – March 2, 2022 UNOS Response to SFC IT Security Letter
- 148. UNOS_6_000026526-29 Kid's Artwork Emails
- 149. UNOS_6_000028442-46 Overgrown HOA Emails